

GOVERNMENT OF INDIA  
NATIONAL SAMPLE SURVEY OFFICE  
SOCIO-ECONOMIC SURVEY  
SIXTY-NINTH ROUND: JULY - DECEMBER 2012

CENTRAL	*
STATE	

SCHEDULE 0.21: PARTICULARS OF SLUMS

[0] descriptive identification of sample UFS block	
1. state/ UT:	4. investigator unit:
2. district:	5. block:
3. town name:	

[1] identification of sample UFS block									
sl. no.	item	code			sl. no.	item	code		
1.	srl. no. of sample UFS block				8.	stratum			
2.	round number	6		9	9.	sub-stratum			
3.	schedule number	0	2	1	10.	sub-round			
4.	sample (central -1, state -2)				11.	sub-sample			
5.	sector (rural -1, urban -2)		2		12.	FOD sub-region			
6.	NSS region				13.	no. of slum(s) wholly or partly within the sample UFS block			
7.	district								

[2] some salient features of the slum(s) lying wholly or partly within the sample UFS block						
sl. no.	particulars	serial number of the slum				
1.	srl. number of the slum in the UFS block	1	2	3	4	5
2.	is the slum a notified one? (yes -1, no -2)					
3.	if code 1 in item 2, year of notification (4-digit)					
4.	total number of UFS blocks intersecting the slum					
5.	approximate number of households in the slum (within UFS block*)					
6.	approximate number of households in the WHOLE SLUM#					
7.	approximate area of the slum (within UFS block*) (code)					
8.	approximate area of the WHOLE SLUM# (code)					

# including part of slum lying outside sample UFS block, if any

\*irrespective of sub-block formation

item no. 7,8: **approximate area of the slum:** class intervals in hectares: less than 0.05 -1, 0.05 to 1.00 -2, 1.00 to 2.00 -3, 2.00 to 3.00 -4, 3.00 to 4.00 -5, 4.00 to 6.00 -6, 6.00 to 8.00 -7, 8.00 or more -8

\* tick mark (✓) may be put in the appropriate place

**CODES FOR BLOCK 3**

item 2	<b>ownership of the land where slum is located:</b> private - 1; public: railway - 2, local bodies - 3, others - 9; not known - 4
item 3	<b>type of area surrounding the slum:</b> residential -1, industrial -2, commercial -3, slum(s) -4, others -9
item 5	<b>physical location of the slum:</b> along nallah/drain -1, along railway line -2, river bank/ river bed -3, hilly terrain/ slope -4, park/ open space -5, others - 9.
item 8	<b>whether the slum has electricity:</b> <u>yes</u> : for street lights only -1, for household use only -2, for street lights and household use -3; <u>no</u> -4
item 9	<b>type of structure of the majority of houses:</b> pucca -1, semi-pucca -2, serviceable katcha -3, unserviceable katcha -4, no structure-5
item 11	<b>approach road/ lane/ constructed path to the slum:</b> <u>motorable</u> : pucca -1, katcha -2; <u>non- motorable</u> : pucca -3, katcha -4
item 12	<b>distance from the nearest motorable road:</b> less than 0.5 km -1, 0.5 to 1 km -2, 1 to 2 km -3, 2 to 5 km -4, 5 km or more -5
item 13	<b>major source of drinking water:</b> tap -1, tube well/ borehole -2, protected well -3, unprotected well -4, others -9
item 14	<b>latrine facility used by most of the residents:</b> <u>public/community latrine (without payment):</u> dry pit -01, flush/ pour-flush -02, others - 03; <u>public/community latrine (with payment):</u> dry pit -04, flush/ pour-flush -05, others - 06; <u>shared latrine:</u> dry pit -07, flush/ pour-flush -08, others - 10; <u>own latrine:</u> dry pit -11, flush/ pour-flush -12, others - 13; <u>no latrine facility</u> -14
item 16	<b>type of drainage system:</b> underground -1, covered pucca -2, open pucca -3, open katcha - 4, no drainage system -5
item 17	<b>garbage disposal for the slum:</b> arrangement by: municipality / corporation -1, resident(s) -2, others -9; no arrangement -3
item 18	<b>frequency of garbage collection:</b> daily -1, once in two days -2, once in 3 to 7 days -3 , once in 8 to 15 days -4, others -9
items 19, 20	<b>distance:</b> less than 0.5 km -1, 0.5 to 1 km -2, 1 to 2 km -3, 2 to 5 km -4, 5 km or more -5
item 23	<b>informant code:</b> knowledgeable person from (i) the slum: male -1, female -2; (ii) outside the slum -9

<b>[3] characteristics of slum(s) lying wholly or partly within the sample UFS block</b>						
sl. no.	item	serial number of the slum				
1.	serial number of the slum in the sample UFS block	1	2	3	4	5
2.	ownership of the land where slum is located (code)					
3.	type of area surrounding the slum (code)					
4.	location of slum (fringe area - 1, other area - 2)					
5.	physical location of the slum (code)					
6.	does the slum usually remain water-logged due to rainfall? (yes -1, no -2)					
7.	does the approach road / lane / constructed path usually remain waterlogged due to rainfall? (yes -1, no -2)					
8.	whether the slum has electricity (code)					
9.	type of structure of the majority of houses (code)					
10.	type of road/ lane/ constructed path <u>within the slum</u> (pucca -1, katcha -2)					
11.	approach road/ lane/ constructed path <u>to the slum</u> (code)					
12.	for code 3 or code 4 in item 11, distance from the nearest motorable road (code)					
13.	major source of drinking water (code)					
14.	latrine facility used by most of the residents (code)					
15.	does the slum have underground sewerage system? (yes -1, no -2)					
16.	type of drainage system (code)					
17.	garbage disposal for the slum (code)					
18.	frequency of garbage collection (code)					
19.	distance from nearest government primary school (code)					
20.	distance from nearest government hospital/ health centre/ etc. (code)					
21.	do the slum dwellers have an association for improving the condition of the slum? (yes -1, no -2)					
22.	whether the slum has benefited from JNNURM/RAY/any other slum improvement scheme (yes -1, no -2)					
23.	informant code					

**Note: If slum lies partly inside sample UFS block and partly outside it, all information recorded in this block will relate to that part of the slum that is inside the sample block.**

**[4] change during the last 5 years in the condition of the slum(s) lying wholly or partly within the sample UFS block**

sl. no.	item	serial number of the slum										
		1		2		3		4		5		
		change in condition (code)	for code 1 in col. (3), source of improvement (code)	change in condition (code)	for code 1 in col. (5), source of improvement (code)	change in condition (code)	for code 1 in col. (7), source of improvement (code)	change in condition (code)	for code 1 in col. (9), source of improvement (code)	change in condition (code)	for code 1 in col. (11), source of improvement (code)	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	
1.	road: approach											
2.	road: within											
3.	water supply											
4.	street lights											
5.	electricity											
6.	latrine facility											
7.	sewerage											
8.	drainage											
9.	garbage disposal											
10.	educational facility at primary level											
11.	medical facility											

cols. 3/5/7/9/11: **change in condition:** improvement - 1, no change - 2, deterioration - 3; neither existed earlier nor existing now - 4

cols. 4/6/8/10/12: **source of improvement:** government - 1, non-governmental organisation - 2, residents - 3, others - 9

**Note: If slum lies partly inside sample UFS block and partly outside it, all information recorded in this block will relate to that part of the slum that is inside the sample block.**

<b>[5] particulars of field operations</b>										
sl. no.	item	field investigator (FI)/ asstt. superintending officer(ASO)						field officer (FO)/ superintending officer (SO)		
(1)	(2)	(3)						(4)		
1(a).	(i) name (block letters)									
	(ii) code									
	(iii) signature									
1(b).	(i) name (block letters)									
	(ii) code									
	(iii) signature									
2.	<b>date(s) of:</b>	DD	MM	YY	DD	MM	YY			
	(i) commencement of survey/ inspection									
	(ii) completion of survey / inspection									
	(iii) receipt									
	(iv) scrutiny									
	(v) despatch									
3.	number of additional sheet(s) attached									
4.	total time taken to canvass the schedule by the team of investigators (FI/ASO) (in minutes) [no decimal point]									
5.	number of investigators (FI/ASO) in the team									
6.	whether any remark has been entered by FI/ASO/supervisory officer (yes-1, no-2)	(i) in block 6/7								
		(ii) elsewhere in the schedule								

<b>[6] remarks by field investigator / asstt. superintending officer</b>										

**[7] comments by supervisory officer(s)**

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