

Chapter Four

Schedule 10: Employment and Unemployment

INTRODUCTION

4.0.0 The survey on employment and unemployment is the prime source of estimates of various parameters of labour force and activity participation of the population. The first quinquennial survey on employment – unemployment, carried out by the NSSO in the 27th round (September 1972 - October 1973), made a marked departure from the earlier employment surveys of NSSO in procedure and content. The concepts and procedures followed in this survey were primarily based on the recommendations of the 'Expert Committee on Unemployment Estimates' (1970). Since then, the seven successive quinquennial surveys conducted in the 32nd, 38th, 43rd, 50th, 55th, 61st and 66th rounds have, more or less, followed an identical approach in the measurement of employment and unemployment. The basic approach (in all these seven quinquennial surveys) had been the collection of data to generate the estimates of employment and unemployment according to the 'usual status' based on a reference period of one year, the 'current weekly status' based on a reference period of one week, and the 'current daily status' based on each day of the seven days preceding the date of survey. In order to reveal the multi-dimensional aspects of the employment-unemployment situation in India, information on several correlates were also gathered in these surveys. Sets of probing questions on some of these aspects had also been one of the basic features of these surveys. In NSS 68th round (July 2011-June 2012), detailed information on employment-unemployment will be collected in the same way as was done in the last quinquennial survey, i.e., in NSS 66th round.

4.0.1 A Working Group was set up for the purpose of finalising the survey methodology and schedules of enquiry of the 68th round. Considering all the aspects of current data demand and usefulness of the survey results, the Group has suggested a few improvisations, additions and deletions in the content of the schedule of enquiry for the present survey. The major changes made in the schedule for employment and unemployment survey vis-à-vis the previous quinquennial survey (NSS 66th round) are given below:

- a) Block 3:
 - 1) In NSS 66th round survey, along with the information on '*whether the household has NREG job card*', information was collected on '*whether got work in NREG works during the last 365 days*', '*number of days worked*' and '*mode of payment*'. In NSS 68th round for rural households, information on Mahatma Gandhi National Rural Employment Guarantee (MGNREG) works will be collected on the following:
 - i. whether the household has MGNREG job card
 - ii. number of MGNREG job cards issued to the household
 - iii. whether any member of the household has any bank/post office account

Information on the last two items (viz., ii & iii) will be collected from the households which have got MGNREG job card.
 - 2) Household type codes and procedure for determination of household type codes in rural areas have been modified.
- b) Block 3.1: In this block information on indebtedness of rural labour households was collected in NSS 66th round. This Block will not be canvassed in NSS 68th round.

- c) Block 4:
- i. Instead of collecting information on ‘*whether currently registered with employment exchange*’ for persons of age 15-45 years as was done in NSS 66th round, information will be collected for the same age group on ‘*whether currently registered with any placement agency*’.
 - ii. In NSS 66th round, for vocational training, detailed information was collected on ‘*duration of training*’, ‘*source from which degree/diploma/certificate received*’ and ‘*whether the vocational training was ever helpful in getting a job*’. In NSS 68th round, collection of information on vocational training will be restricted only to ‘*whether receiving/received any vocational training*’ and ‘*field of training*’.
 - iii. For persons of age 18 years and above in rural households with MGNREG job card, information will be collected on ‘*whether registered in any MGNREG job card*’ and, for those who were registered in any MGNREG job card ‘*whether worked in MGNREG work during last 365 days*’. Such information was not collected in NSS 66th round.
- d) Block 5.1/5.2:
- i. Information on ‘*seeking or available or suitable for the type of occupation*’ which was collected in NSS 66th round in Block 5.1 from the non-workers of age below 75 years, will not be collected.
 - ii. The probing questions to the self-employed persons in the usual status (Block 5.1/5.2) to identify Home Based Workers have been deleted.
- e) Block 5.3:
- i. In this block, for those who were unemployed on all the 7 days of the week, information will also be collected on ‘*duration of present spell of unemployment*’. In NSS 66th round, this question was placed in Block 6. Except retaining this item in Block 5.3, Block 6 of NSS 66th round on *follow-up questions for persons unemployed on all the 7 days of the week* has been deleted.
- f) Block 6 (Block 7.1/7.2 of NSS 66th round):
- i. Block 7.1 and Block 7.2 have been restructured by deleting some of the items and a new block (Block 6) has been formed in NSS 68th round.
 - ii. Questions on remunerativeness of the *earning from self-employment* which were asked in NSS 66th round in Block 7.1 to the self-employed persons in principal status and/or subsidiary status have been deleted. These were, ‘*do you regard the current earning from self-employment as remunerative?*’ and ‘*what amount per month would you regard as remunerative?*’.
 - iii. Information was collected in NSS 66th round in Block 7.2 on some aspects of labour mobility, such as, whether changed *establishment, status, industry, occupation* during the period of last two years. Information on these items will not be collected in NSS 68th round.
 - iv. The three items of Block 7.2 of NSS 66th round which have been retained in NSS 68th round are placed in Block 6. These are:
 1. Is there any union/association in your activity?
 2. Whether a member of union/association
 3. Nature of employment

4.0.2 *Collection of information on Industry of work:* For recording household principal industry and industry of work of household members, NIC-2008 codes will be used. It is important to note that the Division 98 of NIC-2008 will not be used for the purpose of collection of information on industry of activity. Division 98 of NIC-2008 is for *undifferentiated goods and services producing activities of private households for own use*. As a part of the activities shown under Division 98 (viz. hunting and gathering, farming and the production of shelter) is considered as economic activities in NSS surveys, the industry of these undifferentiated activities will be judged in the usual manner as is done now, i.e., by considering the industry in which major time is spent. Thus, this part of activities will get classified against the respective industries under Sections A or B relating to the primary sector or section F relating to construction and not in Division 98. The rest of Division 98 will not be considered as economic activity for the NSS survey. Besides, to **identify certain category of workers separately, NIC-2008 industry class code 9700 (Division 97) has been split, for the purpose of the survey, into the following sub-classes as given below:**

Division 97: ACTIVITIES OF HOUSEHOLDS AS EMPLOYERS OF DOMESTIC PERSONNEL

housemaid/servant	97001	governess/baby-sitter	97005
cook	97002	tutor	97006
gardener	97003	driver	97007
gatekeeper/chowkidar/watchman	97004	others	97009

These codes will be used to collect information on household principal industry and industry codes of the workers.

SUMMARY DESCRIPTION OF THE SCHEDULE

4.0.3 In the present round, Schedule 10 on employment-unemployment consists of 13 blocks. List of the Blocks along with the description of the blocks are given in Table 1 below:

block no.	block description
(1)	(2)
0	descriptive identification of sample household
1	identification of sample household
2	particulars of field operation
3	household characteristics
4	demographic particulars of household members
5.1	usual principal activity particulars of household members
5.2	usual subsidiary economic activity particulars of household members
5.3	time disposition during the week ended on.....
6	follow-up questions on availability for work, existence of union/association and nature of employment for persons working in the usual principal or subsidiary status (i.e., those with codes 11-51 in col. 3 of bl. 5.1 or bl. 5.2)
7	follow-up questions for persons classified as 'engaged in domestic duties' (i.e., activity code 92 or 93 in column (3) of block 5.1) in usual principal activity status
8	household consumer expenditure
9	remarks by investigator/assistant superintending officer
10	comments by supervisory officer (s)

4.0.4 Broad Item coverage different Blocks: The first three blocks, viz. Blocks 0, 1 and 2, are used to record identification of sample households and particulars of field operations, as is the common practice in usual NSS rounds. The last two blocks, viz., Blocks 9 and 10 are to record the remarks of investigators/Assistant Superintending Officer and comments by supervisory officer(s), respectively. Block 3 will be used for recording the household characteristics, like household size, religion, social group, land possessed, land cultivated, etc. For the rural households, information will also be collected, in Block 3, on whether the household has MGNREG job card, number of MGNREG job cards issued to the households and whether the household has any bank/post office account. Block 4 is for recording the demographic particulars and attendance in educational institutions of the household members. Particulars of vocational training being received/received by the household members will also be collected in this block along with the field of training. In this block, for persons of age 15 to 45 years, information will be collected on whether registered with any placement agency. In addition, for persons of age 18 years and above in the rural household with MGNREG job card, information will be collected on whether registered in any MGNREG job card and whether worked in MGNREG works during the last 365 days. In Block 5.1, particulars of usual principal activity of all the household members will be recorded along with some particulars of the enterprises in which the usual status workers (excluding those in crop and plantation activities) are engaged. In this block, information for all the workers about the location of workplace will also be collected. Information on conditions of employment will also be collected for the employees to identify informal employment. Particulars of one subsidiary economic activity of the household members along with some particulars of the enterprises, and informal employment will be recorded in Block 5.2. The daily time disposition for the seven days preceding the date of survey along with the corresponding activity particulars will be recorded for each household member in Block 5.3. Besides this, the current weekly status (CWS) will be derived from the daily time disposition data and will be recorded in this block. As in the past, wage and salary earnings and mode of payment will also be collected for regular salaried/wage employees and for the casual labourers in this block. For those found to be unemployed on all the 7 days of week, duration of present spell of unemployment will be collected in Block 5.3. Block 6 contains the probing questions which are related to the under-utilisation of labour time and information about the membership of union/association and nature of employment. For the members of the household classified as engaged in 'domestic duties' as per their usual principal status, some follow-up questions have been framed and listed in Block 7 with a view to collecting some additional information which might explain as to whether their usual attachment to domestic duties was voluntary or involuntary and also to throw light on their participation in some specified activities for family gain. A worksheet to obtain the total monthly household consumer expenditure has been provided in Block 8.

4.0.5 Concepts and definitions: Concepts and definitions for various terms, viz., economic activity, activity status, procedure for determining the activity status by different approaches, vocational training and other related terms used in this schedule have been discussed in Chapter One.

DETAILS OF SCHEDULE

Block 0: Descriptive identification of sample household

4.0.6 This block is meant for recording descriptive identification particulars of the sample household and the sample village/block to which the sample household belongs. All the items in this block are self-explanatory. Items 4 and 5 are applicable to rural areas only and a dash '-' will be put against this item in urban schedule. The name of the hamlet to which the sample household belongs will be recorded against the fifth item 'hamlet name'. On the other hand, for a sample village with no hamlet group selection, a dash (-) is to be recorded against this item.

Item 6 is applicable to urban areas only and a dash (-) will be put against this item in rural schedules. The entry against the last item, viz., 'name of informant', will be the name of the principal informant, i.e., the person from whom the bulk of the information is collected.

Block 1: Identification of sample household

4.1.0 The identification particulars for items 1, 4-12 will be copied from items 1, 4-12 of Block 1 of the listing schedule (Schedule 0.0). The entries against items 2 and 3 are already printed in the schedule.

4.1.1 **Item 13: Sample hamlet group/ sub-block number:** This item is to be recorded from the heading of Block 5 of Schedule 0.0.

4.1.2 **Item 14: Second stage stratum number:** This item will be copied from the headings of columns (19) to (21) of Block 5 of Schedule 0.0. Entries will be any of 1, 2 or 3.

4.1.3 **Item 15: Sample household number:** The sample household number (i.e., order of selection) of the selected household is to be copied from columns (19) to (21) of Block 5 of Schedule 0.0.

4.1.4 **Item 16: Serial number of informant:** The serial number of the person recorded in column 1 of block 4 of Schedule 10 from whom the bulk of the information is collected will be entered. Information has to be collected from one of the household members. In an extreme case, information may be collected from a person other than the household member who is supposed to know all the requisite information. In such a case, '99' should be recorded against this item.

4.1.5 **Item 17: Response code:** This item is to be filled in at the end of the interview. It is meant to classify the informant according to the degree of his co-operation as well as his/her capability to provide the required information. The codes are:

informant co-operative and capable	1
informant co-operative but not capable	2
informant busy	3
informant reluctant	4
others	9

4.1.6 **Item 18: Survey code:** Whether the originally selected sample household has been surveyed or a substituted household has been surveyed will be indicated against this item by recording code '1', if the sample household is the one originally selected, and code '2' if it is a substituted household. If neither the originally selected household nor the substituted household could be surveyed, i.e., if the sample household is a casualty, code '3' will be recorded. In such cases, only the Blocks 0, 1, 2, and the last two (remarks and comments) blocks will be filled in and on the top of the front page of the schedule the word 'CASUALTY' will be written and underlined.

4.1.7 **Item 19: Reason for substitution of original household (code):** In case the originally selected sample household could not be surveyed, the reason for not surveying the original household will be recorded against this item, irrespective of whether a substituted household could be surveyed or not. The codes are:

informant busy	1
members away from home	2
informant non-cooperative	3
others	9

This item is applicable if the entry against item 18 is either 2 or 3. Otherwise, this item is to be left blank.

Block 2: Particulars of field operation

4.2.0 The identity of the investigator/ assistant superintending officer and the supervisory officer associated with the work, date of survey/inspection/scrutiny of schedules, date of despatch, etc., will be recorded in this block against the appropriate items in the relevant columns. Besides, from the 46th round onwards, person codes of field officials have been introduced which are to be recorded against item 1(ii) (for Central sample only). If canvassing the schedule takes more than one day, the first day of survey is to be recorded against the item srl. no. 2(i).

4.2.1 **Items 4 and 5: Total time taken to canvass schedule 10 (in minutes):** The “total time taken to canvass the schedule” means the actual time spent in canvassing the schedule and will not include the time taken by the investigator/ assistant superintending officer to finalise the schedule. Total time taken to canvass schedule 10, which will include time taken to canvass block 8 also, will be recorded in item 4. Time taken to canvass Block 8 only will be recorded against item 5. Entries in item 4 and 5 will be made in whole number in minutes.

4.2.2 **Item 6: Whether the schedule contains remarks? (yes -1, no-2):** In blocks 9 and 10, remarks of (i) Investigator/ Assistant Superintending Officer and (ii) other Supervisory officer(s) are to be recorded when some difficulty is encountered in collection of data or if some of the items of information seem doubtful in nature. Besides the remarks blocks, sometimes remarks are also recorded in the available blank spaces in the schedules. These remarks may help to make proper assessment of the entries made in the schedule. In item 6 (i & ii), entry will be 1 against the appropriate cell if relevant remarks are recorded, else entry will be 2.

Block 3: Household characteristics

4.3.0 Certain household characteristics, such as, household size, household type, religion, social-group, household industry, household occupation, land owned/ land possessed as on the date of survey, land cultivated (including orchard and plantation) during July 2010- June 2011, etc., which are intended to be used mainly as classificatory characteristics in studying the indicators of employment and unemployment will be recorded in this block. For the households in the rural areas information will also be collected on some items related to MGNREG works.

4.3.1 **Item 1: Household size*:** The size of the sample household i.e., the total number of persons normally residing together (i.e., under the same roof) and taking food from the same kitchen (including temporary stay-aways and excluding temporary visitors) will be recorded against this item. This number will be the same as the last serial number recorded in column 1 of Block 4.

4.3.2 **Item 2: Principal industry (NIC-2008):** The description of the principal household industry will be recorded in the space provided. The description of the principal industry should be recorded in as specific terms as possible based on the description given by the informant. In

* see paragraph 1.8.3 and 1.8.4 of Chapter One

other words, the industry description should not be copied from the NIC booklet if the informant's description gives a clearer idea of the industrial activity which determines the principal industry of the household. The entry cell for item 2 has been split into five parts for recording each digit separately. The appropriate five-digit industry code of the NIC-2008 will be recorded here. For households deriving income from non-economic activities only, a dash (-) may be put against this item. For the definition of principal household industry see paragraph 1.8.36, Chapter One.

4.3.3 Item 3: Principal occupation (NCO-2004): The description of the principal household occupation will be recorded in the space provided. As in case of principal household industry, the description of the principal occupation, too, should be recorded in as specific terms as possible based on the description given by the informant. In other words, the occupation description should not be copied from the NCO booklet if the informant's description gives a clearer idea of the principal occupation pursued by the household. The appropriate three-digit occupation code of the NCO-2004 is to be recorded in the entry cell, which has been trisected for recording each digit separately. For households deriving income from non-economic activities only, a dash (-) may be put against this item. For the definition of principal household occupation see paragraph 1.8.36, Chapter One

4.3.4 Item 4: Household type (code): The household type code based on the means of livelihood of a household will be decided on the basis of the sources of the household's income during the 365 days preceding the date of survey. (For the definition of household type, see paragraph 1.8.5, Chapter One). Note that the codes are not the same for rural and urban areas. For rural households, the household type codes are:

self-employed in:

agriculture	1
non-agriculture.....	2
regular wage/salary earning.....	3

casual labour in:

agriculture	4
non-agriculture.....	5
others.....	9

For **urban** areas, the household type codes are as follows:

self-employed	1	casual labour	3
regular wage/salary earning...	2	others	9

For both rural and urban areas, a household, which does not have any income from economic activities, will get type code 9 (others).

4.3.5 Item 5: Religion (code): The religion of the household will be recorded against this item in code. If different members of the household claim to belong to different religions, the religion of the head of the household will be considered as the religion of the household. The codes are:

Hinduism	1	Jainism	5
Islam	2	Buddhism	6
Christianity	3	Zoroastrianism	7
Sikhism	4	others	9

4.3.6 **Item 6: Social group (code):** Whether or not the household belongs to Scheduled Tribes, Scheduled Castes or Other Backward Classes will be indicated against this item in terms of the specified codes which are:

scheduled tribe	1
scheduled caste	2
other backward classes ...	3
others	9

Those who do not come under any one of the first three social groups will be assigned code 9, meant to cover all other categories. In case different members belong to different social groups, the group to which the head of the household belongs will be considered as the 'social group' of the household.

4.3.7 **Item 7: Land owned as on date of survey (in 0.000 hectares):** The land area, including homestead land, owned by the household as on the date of survey will be recorded in hectares in three places of decimal. Special care is to be taken so that for urban households land owned in rural areas and for rural households land owned in urban areas are not excluded. The entry cells have been split into two parts – one for the integer part and the other for the fractional i.e., decimal part. For 'nil' entry a dash (-) may be recorded here. A piece of land is considered 'owned by the household' if permanent heritable possession with or without the right to transfer the title is vested in a member or members of the household. Land held in owner-like possession under long-term lease or assignment is also considered as land owned. Detailed discussion regarding ownership of land has been made in Para. 1.8.7 of Chapter One.

4.3.8 **Item 8: Land possessed as on date of survey (in 0.000 hectares):** Land possessed is given by land owned (including land under 'owner like possession') + land leased in – land leased out + land held by the household but neither owned nor leased in (e.g., encroached land). The land area possessed by the household as on the date of survey will be recorded in hectares in three places of decimal. The entry cells have been split into two parts – one for the integer part and the other for the fractional i.e., decimal part. Detailed discussion regarding possession of land has been made in Para. 1.8.8 of Chapter One.

4.3.9 **Item 9: Land cultivated (including orchard and plantation) during July 2010-June 2011 (in 0.000 hectares):** Land cultivated is defined as *net sown area* (i.e., areas sown with field crops and area under orchards and plantations being counted only once in an agricultural year) during the agricultural year 2010-11, i.e., July 2010 to June 2011. Land cultivated (including orchard and plantation) during the agricultural year 2010-2011, i.e., July 2010 to June 2011 will be recorded against this item. Land cultivated may be from the land 'owned', 'land leased-in' or from 'land neither owned nor leased-in'. It will be recorded against item 9 in hectares in three places of decimal. Separate provision has been kept for recording integral and decimal parts. For 'nil' entry a dash (-) may be recorded here.

4.3.10 **Items 10 to 12:** Information for these items will be collected from the households in the rural areas only. For urban samples, dash (-) may be put in these items.

4.3.11 **Item 10: Whether the household has MGNREG job card?:** Under the provisions of the MGNREG Act, the Gram Panchayat is to issue job cards to every registered household. The Photographs of the adult members ("adult" means a person who has completed his eighteenth

years of age) who are applicants are attached to the job cards. The job cards shall be valid for a period of five years and will have provision for the addition/deletion of members eligible for work. If name of at least one member of the sample household is listed in any job card, entry will be 1, else entry 2 will be recorded.

4.3.12 Items 11 and 12: Items 11 and 12 will be filled in for those households with MGNREG job card, i.e., for those with code 1 (i.e., if answer is 'yes') in item 10. For other households dash (-) may be put in these items.

4.3.13 Items 11: Number of MGNREG job cards issued to the household: For the households with MGNREG job card(s), information on number of job card(s) issued to the household till the date of survey will be collected. For those households with job cards (i.e. for those households with name of at least one member listed in any job card), number of distinct job cards, in which name(s) of members have appeared, will be reported in this item.

4.3.14 Column 12: Whether any member of the household has any bank/post office account: In this item, information on whether any member of the household has any bank/post office account will be collected. For the purpose of collection of information only the savings bank accounts of banks/post offices will be considered. It may be noted that MGNREG Act envisages payment of the wages through bank/post office accounts. If any member of the household has such accounts in which wages earned in MGNREG works are paid, these will also be considered in reporting information in this item. If any member of the household has any bank/post office account, entry will be 1, else entry 2 will be recorded.

Block 4: Demographic particulars of household members

4.4.0 This block is meant to record the demographic particulars like sex, age, marital status, educational level, current attendance in educational institution, current registration with placement agencies, vocational training, etc., of all the household members. Besides for the household members of age 18 years and above in the rural households with MGNREG job card, some particulars of MGNREG works will also be collected. The description of the items and the procedure for recording them are explained below:

4.4.1 Column (1): Serial number: All the members of the sample household will be listed in this Block 4 using a continuous serial number in column (1). The head of the household will appear first followed by head's spouse, the first son, first son's wife and their children, second son, second son's wife and their children and so on. After the sons are enumerated, the daughters will be listed followed by other relations, dependants, servants, etc

4.4.2 Column 2: Name of member: The names of the members corresponding to the serial numbers entered in column (1) will be recorded in column (2).

4.4.3 Column 3: Relation to head: The relationship of each member of the household to the head of the household (for the head, the relationship is 'self') will be recorded in this column. The codes are:

self	1	grandchild	6
spouse of head.....	2	father/mother/father-in-law/mother- in-law	7
married child	3	brother/sister/brother-in-law/sister-in-law	
spouse of married child ..	4	/other relatives.....	8
unmarried child	5	servant/employees/other non-relatives	9

4.4.4 Column 4: Sex (male-1, female-2): The sex of each member of the household will be recorded in this column. For eunuchs, code '1' will be recorded.

4.4.5 **Column 5: Age (years):** The age in completed years of all the members listed will be ascertained and recorded in this column. For infants below one year of age, '0' will be entered. Ages above 99 will be recorded in three digits.

4.4.6 **Column (6): Marital status:** The marital status of each member will be recorded in this column. The codes for different marital statuses are as follows:

never married	1
currently married	2
widowed	3
divorced/ separated	4

4.4.7 **Educational level:** Information on the highest level of education successfully completed by each member of the household considering his/ her all general/ technical/ vocational educational level will be recorded in terms of codes in column (7), whereas in column (8), the highest level of technical education successfully completed will be recorded. A person who has studied up to say, first year B.A. or has failed in the final B.A. Examination, his educational attainment will be considered only as 'higher secondary', for the purpose of column (7).

4.4.8 **Column (7): General:** In column (7), the highest level of education successfully completed by the members of the household considering general/ technical/ vocational education will be recorded in codes which are given below:

not literate	01
literate without formal schooling:	
EGS/NFEC/AEC.....	02
TLC.....	03
others.....	04
literate:	
below primary	05
primary	06
middle	07
secondary.....	08
higher secondary	10
diploma/certificate course	11
graduate.....	12
postgraduate and above.....	13

A person who can both read and write a simple message with understanding in at least one language is to be considered literate. Those who are not able to do so, are to be considered not literate and will be assigned code 01. Some persons achieve literacy by attending Non-formal Education Courses (NFEC) or Adult Education Centres (AEC) or by attending primary schools created under Education Guarantee Scheme (EGS). Such persons will be given code 02. Persons who have become literate through attending Total Literacy Campaign (TLC) will be given code 03. Persons who are literate through means other than formal schooling or the two enumerated above will be given code 04. Those, who are by definition literate through formal schooling (excluding schools created under EGS) but are yet to pass primary standard examination will be assigned code 05. Similarly codes 06, 07, 08, and 10 to 13 will be assigned to those who have passed the appropriate levels. The criteria for deciding primary, middle, secondary, etc., levels

will be that followed in the concerned states/union territories. Persons who have attained proficiency in Oriental languages (e.g., Sanskrit, Persian, etc.) through formal but not through the general type of education will be classified appropriately at the equivalent level of general education standard. Those who have completed some diploma or certificate course in general, technical education or vocational education which is equivalent to below graduation level, code 11 will be assigned. On the other hand, those who have obtained degree or diploma or certificate in general, technical education or vocational education, which is equivalent to graduation level, will be given code 12. Code 13 will be assigned for them who have obtained degree or diploma or certificate in general or technical education which is equivalent to post-graduation level and above.

4.4.9 **Column (8): Technical:** Technical education standard achieved by the members of the household will be recorded in one of the following codes:

no technical education	01
technical degree in agriculture / engineering / technology / medicine etc.	02
diploma or certificate (below graduate level) in:	
agriculture	03
engineering/technology.....	04
medicine.....	05
crafts	06
other subjects	07
diploma or certificate (graduate and above level) in:	
agriculture.....	08
engineering/technology.....	09
medicine	10
crafts.....	11
other subjects... ..	12

Technical diploma or certificate in 'other subjects' will cover diploma or certificate in management, applied arts, etc. If more than one of the codes 03 to 07 are applicable, the code indicating the diploma/ certificate **last received** will be considered. Similar will be the treatment when more than one of the codes 08 to 12 are applicable for a person. It may be noted that the technical certificate/ diploma obtained by the person **need not necessarily be recognised** by the Government.

4.4.10 **Column (9) & (10): Current attendance in educational institution:** Columns (9) & (10) will be used to record the particulars of current attendance in educational institution **for persons of age below 30 years**. Column (9) will be used to record 'status of current attendance'. Column (10) will be used to record 'type of institution' for those who are currently attending educational institution, i.e., with entry 21 to 43 in column (9). Procedure for recording the particulars in these columns is explained in the subsequent paragraphs.

4.4.11 **Column (9): Status of current attendance.** It will be first ascertained if the person is currently attending any educational institutions (government or private) or not. Persons who are registered for any regular correspondence courses or distance education courses for a stipulated period at the end of which, are allowed to appear in the examination for the course, **will also be** considered as 'currently attending'. Persons who are awaiting results will be considered as

‘currently attending’ and the appropriate code for the level for which they have appeared in the examinations will be recorded. Persons who are not currently attending any educational institutions, reason for not attending will be ascertained and will be given any of the codes 01 to 05 if they have never attended and 11 to 15, if they ever attended but currently not attending. For those who are found ‘currently attending’, the course of study pursued by them will be further ascertained and codes will be assigned depending on the course of study pursued by them. For persons attending more than one course, the one which is of full time will be considered for recording current attendance, in case only one of the courses is full time. In case more than one of the courses are full time, the one, which is of higher level will be considered for current attendance. If the full time courses are of same level, the one with longer duration will be considered. If the person is pursuing only part time courses, the course to be considered for current attendance will be determined in the similar way as is done for full time course.

The code structure for status of current attendance is as follows:

status of current attendance	code	status of current attendance	code
currently not attending			
never attended:		ever attended but currently not attending:	
school too far.....	01	school too far.....	11
to supplement hh. income	02	to supplement hh. income.....	12
education not considered necessary.....	03	education not considered necessary...	13
to attend domestic chores.....	04	to attend domestic chores.....	14
others	05	others.....	15
currently attending			
EGS/NFEC/AEC	21	diploma or certificate (below graduate level) in:	
TLC	22	agriculture.....	33
pre-primary (nursery/Kindergarten, etc.)..	23	engineering/technology	34
primary (class I to IV/V).....	24	medicine	35
middle.....	25	crafts	36
secondary.....	26	other subjects.....	37
higher secondary.....	27	diploma or certificate (graduate level) in:	
graduate in:		agriculture	38
agriculture.....	28	engineering/technology	39
engineering/technology	29	medicine	40
medicine	30	crafts	41
other subjects	31	other subjects.....	42
post graduate and above.....	32	diploma or certificate in post graduate and above level.....	43

4.4.12 Column (10): Type of institution: Those with code 21 to 43 in column (9) will be considered for recording entries here. The type of institution refers to the type of management by which the institution is run. It may be government, local body, or private body receiving government aid. Thus, the type may be (a) Government, (b) Local body, (c) Private aided or (d) Private unaided. All schools/ institutions run by the State, Central Government, Public Sector Undertakings or Autonomous Organisations, which are completely financed by the Government will be treated as government institutions. Schools/ institutions where some intervention of government is in the management and are mainly financed by the government will also be

treated as government institutions. All institutions run by municipal corporations, municipal committees, notified area committees, zilla parishads, panchayat samitis, cantonment boards, etc., will be treated as local body institutions. Private and aided institution is one, which is run by an individual or a private organisation and receives maintenance grant partly or fully from the Government or local body. Private and unaided institution is one that is managed by an individual or a private organisation and not receiving maintenance grant either from a Government or a local body.

Relevant codes to be given are:

government	1	private and unaided	4
local body	2	not known	5
private and aided	3		

4.4.13 Column (11): Whether currently registered with any placement agency: For persons of age 15 to 45 years listed in column (1) of this block, it is to be ascertained whether or not they are currently on the register in any placement agency in terms of the following codes;

registered:

only in government employment exchanges.....	1
only in private placement agencies.....	2
in both government employment exchanges and private placement agencies.....	3
not registered	4

Registration in both government employment exchanges or private placement agencies will be considered. For a person to be currently on the live register, he or she should renew his/her registration before it lapses, i.e., when the renewal becomes due. For registration in government employment exchanges, the period specified for renewal varies from state to state and also perhaps, from one employment category to the other. The investigator, therefore, should ascertain from some knowledgeable sources, the period specified for such renewal in the particular region where he has to carry out the field work. With this background information, the investigator should find out when the person has registered in the government employment exchange, whether he has renewed the registration, etc., and thus determine whether or not he or she was currently on the live register of the government employment exchange. Persons may also register in various private placement agencies, who act as facilitator for the prospective job seekers. Some of the private placement agencies also have job portals in the Internet, through which the prospective job seekers register their names. If the person is registered in only government employment exchanges code 1 will be recorded and in case the household member is registered in private placement agencies code will be 2. When a person is registered in both the government employment exchanges and private placement agencies code 3 will be recorded. Code 4 will be recorded for those persons who are not registered in either government employment exchanges or private placement agencies.

4.4.14 Column (12) and (13): Particulars of vocational training: Columns (12) and (13) will be used to record particulars of vocational training received/ being received by **persons of age 15 to 59 years**. Procedure for recording the particulars in these columns is explained in the subsequent paragraphs.

4.4.15 **Column (12): Whether receiving/received any vocational training:** Information on whether the household member is receiving or has received any vocational training will be collected in this column in codes given below:

receiving formal vocational training.....	1
received vocational training:	
formal.....	2
<i>non-formal:</i>	
hereditary	3
self-learning	4
learning on the job.....	5
others	6
did not receive any vocational training	7

Only those who are currently receiving 'formal vocational training' will be given code 1 and if the vocational training, which is formal, has already been received, i.e., if the training course is successfully completed, then code will be 2. Codes 3 to 6 relate to non-formal vocational training. Code 3 will be applicable for those who have received 'hereditary' non-formal vocational trainings and code 4 for those who have received non-formal vocational trainings though 'self-learning'. Persons who have received non-formal vocational training through 'learning on the job' will be given code 5. All other cases of non-formal vocational training received will be assigned code 6. Persons who have failed in formal vocational training after completion of the full duration of the course will also be given code 6 provided they have acquired competency through this training to employ themselves as wage salary employee or self-employed. Note that if one has already received some vocational training, formal or informal, and also currently receiving some formal vocational training, then the vocational training already received will be recorded for him/her. In case, he/she has received more than one vocational training, then the one among the applicable codes that appears first will be recorded. Concepts of vocational training, formal and non-formal vocational trainings have been discussed in Paras. 1.8.39, 1.8.40 and 1.8.41, respectively, of Chapter One. Examples of some formal vocational trainings have been given in Annexure 1.

4.4.16 Columns (13) will be filled in for those who are receiving/ have received formal vocational training, i.e., for code 1 or 2 in column (12).

4.4.17 **Column (13): Field of training (code):** Field of training in this column refers to the broad area/ trade, say, 'Mechanical engineering trades', 'Electrical and electronic trades', 'Leather related work', etc., on which the formal vocational training has been received/being received by the household member. 'Field of training' **will be recorded in terms of 2 digit codes.**

4.4.17.1 For a formal vocational training, if the 'field of training' is not covered by any of the codes 01 to 21, code 99 will be assigned to that field of training. It may be noted that under a particular broad area of the training stated above, an individual may have received the vocational training for development of skill in specific area(s). For example, a vocational training may develop skill in specific area(s) like 'blacksmithy', 'fitter', etc., under the broad area 'Mechanical engineering trades' or in the specific area 'Cutting and Tailoring' under the broad area 'Textile related work' or in the specific area 'Cane & Bamboo work' under the broad area 'Artisan/ craftsman/ handicraft and cottage based production work'. Specific areas and broad areas of the vocational training, in most cases, will be understood from the name of trade/training course.

4.4.17.2 To facilitate the collection of data on ‘field of training’, an indicative list of specific areas on which one can receive the vocational training and the broad area covering the specific area(s) is given in Annexure 2. There may be cases where broad area of training can be understood from the specific area of training though the specific area is not covered under any of the broad areas in the list given in Annexure 2. For a person when more than one of the codes are applicable, last training received will be considered for giving code for ‘field of training’.

4.4.17.3 Some instances for recording field of training are being illustrated with the help of the following examples:

1. A person has received a vocational training for which the name of the training course is ‘**Fitter**’. In this case the specific area of the training is ‘**Fitter**’ and the broad area will be ‘**Mechanical engineering trades**’. In this case, the entry against ‘field of training’ will be the code for the broad area of training ‘Mechanical engineering trades’, i.e., 01.
2. For the vocational training course ‘**Cutting and Tailoring**’, the specific area is ‘**Cutting and Tailoring**’, and the broad area will be ‘**Textile related work**’. In this case, the entry against ‘field of training’ will be the code for the broad area of training ‘Textile related work’, i.e., 07.

The codes for the field of training to be used for making entry in column (13) are given below:

field of training	codes
Mechanical engineering trades	01
Electrical and electronic engineering trades.....	02
Computer trades.....	03
Civil engineering and building construction related works.....	04
Chemical engineering trades.....	05
Leather related work.....	06
Textile related work.....	07
Catering, nutrition, hotels and restaurant related work.....	08
Artisan/ craftsman/ handicraft and cottage based production work.....	09
Creative arts/ artists.....	10
Agriculture and crop production related skills and food preservation related work.....	11
Non-crop based agricultural and other related activities.....	12
Health and paramedical services related work.....	13
Office and business related work.....	14
Driving and motor mechanic work.....	15
Beautician, hairdressing and related work.....	16
Work related to tour operators/ travel managers.....	17
Photography and related work.....	18
Work related to childcare, nutrition, pre-schools and crèche.....	19
Journalism, mass communication and media related work.....	20
Printing technology related work.....	21
Other.....	99

4.4.18 **Columns (14) and (15):** Columns (14) and (15) will be used to record *whether registered in any MGNREG job card* and *whether worked in MGNREG works during last 365 days*. These two columns will be used for **household members of age 18 years and above in rural households with MGNREG job card**. This is in relation to the information on *whether the household has MGNREG job card* as specified in Block 3, item 10.

4.4.19 **Column (14): Whether registered in any MGNREG job card:** In the MGNREG job card, particulars of all the adult members (persons of age 18 years and above) of the household who are willing to work are listed. If a household member is listed in a job card issued to the household, entry will be 1, else 2 will be recorded in column 14 against that member.

4.4.20 **Column (15): Whether worked in MGNREG works during last 365 days:** In this column, for each household member of age 18 years and above who have their names registered in a job card (i.e., with entry 1 in column 14), situation will be determined with a reference period of last 365 days in respect of whether got work in MGNREG work for at least one day or sought but did not get work and did not seek work, and accordingly the entry will be made in terms of the following codes.

worked.....1
sought but did not get work.....2
did not seek work.....3

Code 1 will be recorded in respect of those household members who have worked for at least one day in MGNREG works during last 365 days. Code 2 will be recorded for those who sought but did not get work¹ during the last 365 days. Code 3 will be applicable for those household members who did not seek work during the last 365 days.

Block 5.1: Usual principal activity particulars of household members

4.5.1.0 The usual principal activity particulars of each member of the household will be collected in this block. This will include information on industry-occupation of the working members, some particulars of the enterprises in which they are working and conditions of employment for the persons employed as regular wage/salaried employee and casual labour. The particulars of usual activity are collected with reference to a period of 365 days preceding the date of survey. The relevant concepts like 'economic activity', 'activity status', 'usual principal activity', 'usual subsidiary economic activity', etc., are explained in Paras. 1.8.11, 1.8.12, 1.8.22 and 1.8.23, respectively, of Chapter One. The description of the items and the procedure for recording them are explained below:

4.5.1.1 **Columns (1) & (2): Srl. no. & age, as in cols. (1) & (5) of block 4:** The entries in these two columns are to be copied from columns (1) and (5) of block 4, for each of the members of the household.

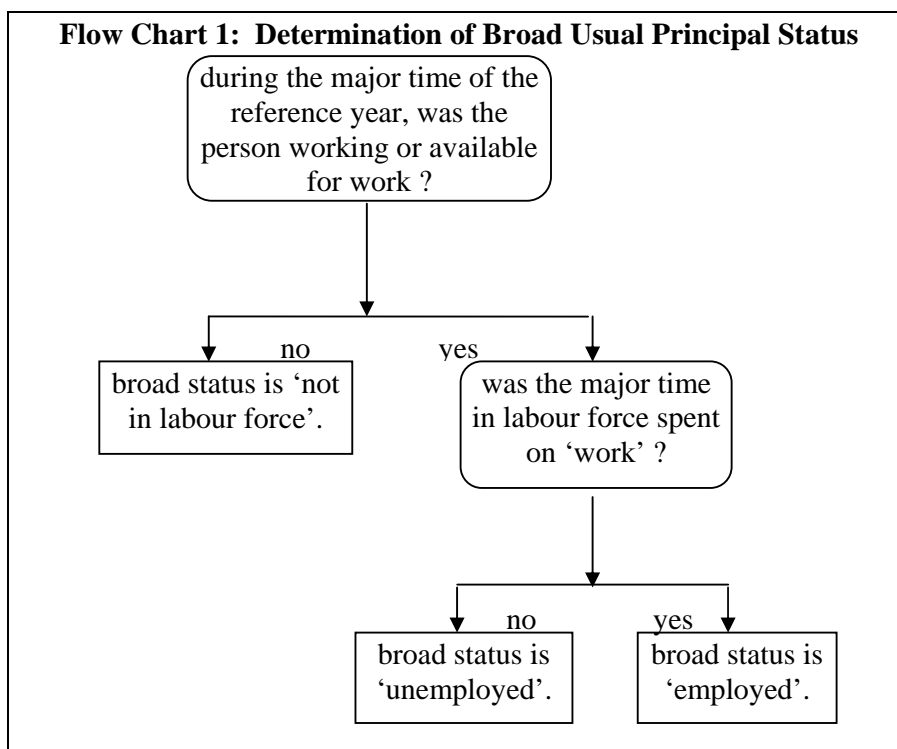
4.5.1.2 **Usual principal activity particulars of household members:** The usual principal activity particulars of each member of the household will be collected in columns (3) to (6) of this block. This will include information on industry-occupation of the working members.

4.5.1.3 **Column (3): Status:** For each of the members, the usual principal activity status will be recorded in this column. In the first instance, the broad usual principal activity of the person will be identified based on the various activities pursued by the person during the reference period of last 365 days adopting a 'relatively long time (or major time)' criterion, not necessarily for a continuous period. The broad usual principal activity status will be one of the three categories viz. 'employed' (working), 'unemployed' (available for work) or 'not in labour force' (neither

¹ As per Operational Guidelines (2008) of MGNREGA (Ministry of Rural Development, Government of India), application for work should generally be submitted to the Gram Panchayat. In addition, as prescribed by the Act, workers should have the option of submitting an application directly to the Programme Officer, but this should be treated as 'fallback' option only. Applications should be given in writing and should include: the registration number of the job card, the date from which employment is required and the number of days of employment required.

working nor available for work). *It is to be noted that in deciding this, only the period normally available in a day for pursuing various activities need to be considered, and not the 24 hours of a day.*

4.5.1.4 Identification of broad usual principal activity status: The broad usual principal activity status will be obtained on the basis of a two stage dichotomous classification depending on the major time spent. Persons will be classified in the first stage into (i) those who are engaged in any economic activity (i.e. employed) and / or available for any economic activity (i.e., unemployed) and (ii) who are not engaged and also not available for any economic activity (i.e., not in labour force). Thus, the persons will be first classified as those in the labour force and those not in the labour force depending on in which status, out of these two, the person spent major part of the year. In the second stage, those who are found in the labour force will be further classified into working (i.e., engaged in economic activity or employed) and seeking and/or available for work (i.e., unemployed) based on the major time spent. Thus, we can obtain the broad usual principal status as one of the three viz. employed, unemployed and out of labour force. Flow Chart 1 explains the procedure for determining the broad usual principal activity status.



4.5.1.5 The following examples will help in clarifying the procedure for identifying broad usual principal activity status of individual.

person	number of months			usual principal activity status	remarks
	employed	unemployed	not in labour force		
A	5	4	3	<i>employed</i>	
B	4	5	3	<i>unemployed</i>	<i>employed in subsidiary status (SS)</i>
C	4	3	5	<i>employed</i>	
D	4	1	7	<i>not in labour force</i>	<i>employed in SS</i>
E	3	3	6	<i>employed</i>	
F	1	0	11	<i>not in labour force</i>	<i>employed in SS</i>

4.5.1.6 Detailed usual principal activity status: With the broad activity status identified for a person, detailed activity categories will be assigned on the basis of relatively long time spent on a detailed activity. For example, suppose person A, in the example given above worked in household enterprises without hiring labour for 3 months and worked as casual labour for 2 months, then his usual principal activity status would be, worked in household enterprise (own account worker).

The detailed usual principal status activity codes are as given below:

activity status	code
worked in hh. enterprise (self-employed) as own account worker	...11
worked in hh. enterprise (self-employed) as employer	...12
worked as helper in hh. enterprises (unpaid family worker)	...21
worked as regular salaried/wage employee	...31
worked as casual wage labour : in public works	...41
in other types of work	...51
did not work but was seeking and/or available for work	...81
attended educational institutions	...91
attended domestic duties only	...92
attended domestic duties and was also engaged in free collection of goods (vegetables, roots, firewood, cattle-feed etc) sewing, tailing, weaving, etc. for hh. use	...93
rentiers, pensioners, remittance recipients, etc.	...94
not able to work due to disability	...95
others (including begging, prostitution, etc.)	...97

Codes 11, 12, 21, 31, 41 & 51 refer to the 'employed', 81 to the 'unemployed' and the remaining viz. 91 to 97 refer to the 'not in labour force'. For children of age 0 - 4 years, code 97 may be given.

4.5.1.7 Some special cases for determining usual principal activity status are listed below:

(i) It is to be noted that availability for work being more of a status than an activity, a person being available for work may well be engaged in one or more of the activities denoted by codes 91 to 97. In all such cases except those engaged as students (code 91), persons will be categorised as 'unemployed' if he/she reports to be available for work for a relatively long period in spite of his/her being engaged simultaneously in a non-economic activity. But, if a person who is available for work is reported to have attended educational institution more or less regularly for a relatively long period during the preceding 365 days, further probing as to whether he will give up the study if the job is available is to be made before considering him as 'unemployed'.

(ii) Again, it also needs to be emphasised that the procedure to be followed in ascertaining the activity status of a domestic servant who is a member of the employer's household is different from that adopted for other members of the household. It may be noted that engagement in domestic duties by such household members is not considered economic activity as defined for the survey. On the other hand, although a domestic servant staying in the employer's household and taking food from the common kitchen is, by definition, a member of the employer's household, he/she is also *engaged in domestic duties in return for wages* in cash and/or kind. Thus, *as a special case, domestic duties pursued by a domestic servant will be considered as an economic activity* and the activity status code as is applicable will be assigned to him/her.

(iii) Carpenters, masons, plumbers, etc., who move from place to place in search of work and carry out the work on a contract basis (not on wage basis) whenever work is available, will be considered as 'own-account worker'. But if such persons are working on a wage basis under a contractor, they will be considered as employee.

4.5.1.8 **Columns (4) to (6): Principal industry-occupation:** Columns (4) to (6) will be filled-in for those who are 'working', i.e., those with any one of codes 11, 12, 21, 31, 41, or 51 in column (3). The description of the industry-occupation relevant to the type of economic activity pursued by the person in the status recorded in column (3) will be given in column (4). The corresponding 5-digit industry code (NIC-2008) and the 3-digit occupation code (NCO-2004) will be entered in columns (5) and (6), respectively. In case, two or more industry-occupation combinations corresponding to the status code given in column (3) have been reported by a person, the principal industry-occupation will be the one in which **relatively long time** has been spent during the preceding 365 days by the person.

4.5.1.9 Besides, to **identify certain category of workers separately, NIC-2008 industry class code 9700 (Division 97) has been split, for the purpose of the survey, into the following sub-classes as given below:**

Division 97: ACTIVITIES OF HOUSEHOLDS AS EMPLOYERS OF DOMESTIC PERSONNEL

housemaid/servant	97001	governess/baby-sitter	97005
cook	97002	tutor	97006
gardener	97003	driver	97007
gatekeeper/chowkidar/watchman	97004	others	97009

These additional codes are to be used, wherever necessary, in **recording five digit industry codes in column 5 of block 5.1**. In assigning the industry code under Division 97, it should be kept in mind that the work is to be performed predominantly in the premises of the household irrespective of whether it is performed in one or more than one household. If services provided by the individuals to the household originate and terminate in the same household, they will be classified under Division 97.

For example, all persons who collect electric bills from the households for payment, who provide potable water in the container made available by the household, who collect grocery items from the shops/market as per the list of items supplied by the household, who give tuition to the members of the household at the residence of the household members, etc., **will be** classified under this division. On the other hand, if the households avail these services by approaching such persons (providing these services) in their establishment/house, then those services **will not be** classified under Division 97. They will be classified under appropriate division. For example, for a person providing tutoring services in his coaching classes, his activity may be classified under NIC 85491. Similarly, a person who is supplying potable water to one or more households (and uses his own container - which is his asset) will be classified under NIC 96903. **Note that the persons classified under NIC division 97 in the above example will be considered as 'wage earners/employees', while those not classified under division 97 will be considered as 'self-employed'.**

4.5.1.10 It may be noted that in determining the usual principal status of a person, it is not essential to consider the time disposition in respect of various activities pursued by the person on a day-to-day basis - which is generally done in the case of current status. What is important is that the activity, which a person usually performed or the status in which the person usually belonged to for a longer period during the reference period, is to be assessed. In order to determine the usual principal status, the dominant activity of the individual that kept the person engaged for a longer period during the reference period, may be identified. This may largely be

understood by the functional role of the individual in daily life or normal attachment of the individual to an activity or the activity situation in which an individual disposes himself or herself. This may even be understood by the response to the question generally asked 'what he/she normally does or did during the reference period?' such as, teaching or housekeeping or tutoring others or studying or farming or renting or prostitution. For example, the dominant activity of a person whose normal attachment is with household chores, even though gives tuition for some time – maybe for three/ four hours in a day, will be considered as performing 'domestic duties' or, that of a boy who disposes himself as a student though performs some work activity regularly will be considered as 'student'. Note that the dominant activity of a person during the reference period is determined irrespective of the activity situation on a specific point of time (say, 1 day) or during a short period of time (say, 1 week).

4.5.1.11 There may be several situations for a person during the reference period. The individual might be engaged in a single dominant activity throughout the year or, the dominant activity might be carried out with other activities simultaneously or in succession or in alternation. In the first situation, the dominant activity for the person, which did not change during the reference period, will determine his/her usual principal activity status. In the second situation, where the dominant activity changed, the usual principal status for the person will be determined by the activity that prevailed for a longer period for the person during the reference period, that is, by major time criteria. For example, the dominant activity situation for a person may be 'employed' throughout the year, with or without any other activity carried out simultaneously, and accordingly, his/her usual principal activity status will be assigned as 'employed'. On the other hand, the dominant activity situation for a person may be outside the labour force for some period, unemployed for some period and employed for the remaining period during the year. The broad usual principal status of the person will be the activity that prevailed for the person for a longer period during the year, which is obtained following a two-stage dichotomous classification depending on the major time spent on the different broad activities. However, if a person who did any economic activity for a period of 30 days or more, he/she will be considered as worker either in the principal status or in the subsidiary status depending upon the situation during reference period.

4.5.1.12 **Column (7): Whether engaged in any work in a subsidiary capacity (yes-1, no-2):** For each member of the household listed in this block, it has to be ascertained whether he/she worked in a subsidiary capacity during the 365 days preceding the date of survey or in other words if he had any subsidiary economic status. A person will be considered to have worked in the subsidiary capacity if he/she has worked for a minimum period of 30 days, not necessarily for a continuous period, during the last 365 days, and for them code 1 will be recorded in this column. Otherwise, code will be 2.

4.5.1.13 The identification of those working in a subsidiary capacity will be done as follows:

(i) For example, a person categorised as working and assigned the usual principal activity status as own account worker may also be engaged for a relatively minor time, but not less than 30 days, during the reference year as casual wage labour. In such a case, he will be considered to have worked also in a subsidiary capacity, i.e., having a subsidiary economic status which is different from the principal economic status. A person may be own account worker in trading for a relatively long period and simultaneously also engaged in agricultural production for a relatively minor time, say for at least 30 days. In such a case, the usual principal status will be own account worker in trade and usual subsidiary economic status will be own account worker in agriculture.

(ii) Similarly, persons categorised as 'unemployed' or 'not in labour force' on the basis of relatively long time criterion might have pursued some economic activity for relatively minor time, say for at least 30 days, during the year (as in the case of persons 'B', 'D' and 'F' in the

example cited earlier). In such cases, they will be treated as having subsidiary economic activity and code 1 will be recorded in column (7).

(iii) Differentiation between usual principal economic activity and usual subsidiary economic activity will be made by considering activity status and industry at 2-digit level of NIC-2008. Thus, while for a person with two or more economic activities pursued at different activity status, one of the economic activities will be considered as usual principal economic activity on the basis of major time criteria, another activity will be considered as usual subsidiary economic activity. On the other hand, if a person pursues two or more economic activities in the same activity status but if the industry at 2-digit level of NIC-2008 are different, then the person will be considered to have different usual principal activity and usual subsidiary economic activity.

4.5.1.14 Columns (8) to (15): Particulars of enterprise and conditions of employment: Certain probing questions will be asked to determine the particulars of enterprises and conditions of employment to those engaged in the non-agricultural sector as well as in the agricultural sector excluding growing of non-perennial crops (NIC-2008 group 011), growing of perennial crops (NIC-2008 group 012), plant propagation (NIC-2008 group 013) and mixed farming (NIC-2008 group 015). Thus, for persons with **industry groups 014, 016, 017 and divisions 02 to 99 of NIC 2008 in col. (5)**, particulars of the enterprises where the household members are usually engaged will be recorded in columns (8) to (11) **for all the workers (i.e., for those with activity status codes 11, 12, 21, 31, 41 or 51 in column 3)**, and conditions of employment will be recorded in columns (12) to (15) for those with **status codes 31, 41 or 51 in column (3)**. **Note that in this block the particulars to be collected in columns (8) to (15) will pertain to the principal status (col. 3) and industry (col. 5) obtained for the person.**

4.5.1.15 Column (8): Location of workplace (code): The location of the workplace will be recorded in terms of code under this column. The detailed codes are:

description	code
workplace in rural areas and located in:	
own dwelling unit.....	10
structure attached to own dwelling unit.....	11
open area adjacent to own dwelling unit.....	12
detached structure adjacent to own dwelling unit.....	13
own enterprise/unit/office/shop but away from own dwelling.....	14
employer's dwelling unit.....	15
employer's enterprise/unit/office/shop but outside employer's dwelling	16
street with fixed location.....	17
construction site.....	18
others.....	19
workplace in urban areas and located in:	
own dwelling unit.....	20
structure attached to own dwelling unit.....	21
open area adjacent to own dwelling unit.....	22
detached structure adjacent to own dwelling unit.....	23
own enterprise/unit/office/shop but away from own dwelling.....	24
employer's dwelling unit	25
employer's enterprise/unit/office/shop but outside employer's dwelling.....	26
street with fixed location.....	27
construction site.....	28
others	29
no fixed workplace.....	99

It may be noted that the location of the sample household (rural or urban) is not to be considered for entry in this column; location of the enterprise is to be ascertained and appropriate code is to be recorded. For the purpose of the survey, the term 'adjacent' area/structure will be restricted within *homestead land* only. For the working members, if the enterprise in which they are working does not have a fixed premises or in other words if these enterprises do not have fixed workplace (as in the case of a hawker or an artisan like carpenter, cobbler, knife-grinder, own-account carpenters, etc., who moves from place to place and goes to the customers), code 99 will be assigned, irrespective of whether the enterprise is in operation in rural or urban areas. For those working in enterprises with fixed location, two sets of codes have been provided, one for the enterprises, which are located in the rural areas and the other for those that are in the urban areas. The two sets are identical in their classification. In the case, where the sector of location is both rural and urban, appropriate code is to be given on the basis major time criterion. Code 18/28 is relevant only for persons engaged in construction industry. The workplace of the workers engaged in construction activity is normally the site of construction and may change frequently. For them appropriate entry will be 18 / 28 and not 99. If the enterprise changes its location from time to time, e.g., a trading enterprise may shift its location from one market to the other on different days of the week although operates in a fixed place of the market, code will be 19 or 29 depending on whether the workplace is in the rural areas or in the urban areas. Similarly for the own account workers such as, rickshaw pullers, auto drivers, taxi drivers, lorry drivers, etc., code 19 or 29 will be assigned on the basis of major time of operation of such enterprises.

4.5.1.16 **Column (9): Enterprise type:** The type of enterprise in which the household member is working is to be recorded under this column. The entry is to be made in terms of codes as given below:

enterprise type:

proprietary: male.....	1
female	2
partnership: with members from same hh.....	3
with members from different hh.....	4
Government/public sector	5
Public/Private limited company	6
Co-operative societies/trust/ other non profit institutions.....	7
Employer's households (i.e., private households employing maid servant, watchman, cook, etc.)	8
others.....	9.

If the informant does not know the type of enterprise in which the household member works and the investigator is unable to collect such information in spite of his/her best efforts, code 9 will be recorded for such working member against type of enterprise. For persons engaged in own account production of fixed assets, the enterprise type will be either proprietary or partnership, i.e, any of the codes 1 to 4.

4.5.1.17 **Column (10): Whether uses electricity for its production of goods and services (yes -1, no -2, not known -9):** The information as to whether the enterprise in which the person works, uses electricity for its production of goods and services is to be recorded here. It may be mentioned that use of electricity exclusively for purposes other than production like, for comfort, security, illumination, etc., will not be considered as the use of electricity by the enterprise for its production. Code 1 will be assigned if the enterprise uses electricity for its

production. If the enterprise does not use electricity for its production, code will be 2. If the informant does not know whether the enterprise uses electricity for its production, code 9 will be recorded.

4.5.1.18 **Column (11): Number of workers in the enterprise:** Number of workers would mean the number of workers employed in the enterprise on an average in a day of operation, irrespective of whether they are hired worker or household members working in the enterprise. The number of workers that includes working owner(s), will be recorded in terms of codes as detailed below:

less than 6	1
6 & above but less than 10.....	2
10 & above but less than 20	3
20 & above	4
not known	9

In case the informant is not able to provide information on the number of workers, code 9 will be recorded.

4.5.1.19 **Column (12) to Column (15):** Columns 12 to 15 will be filled in for each employee (i.e., those with code 31, 41, or 51 in column 3) working in industry groups 014, 016, 017 and divisions 02 to 99 of NIC 2008 in col. (5).

4.5.1.20 **Column (12): Type of job contract:** It is to be ascertained for each employee (i.e., those with code 31, 41, or 51 in column 3) whether for the job in which he/she is engaged, there is any written contract or agreement, irrespective of whether such contract is protected under national legislation or not, in respect of duration of employment with his/her employer. For those who reports to have written job contract with their employer, further probing may be done in respect of the length of duration of job contracted, and the information so obtained may be recorded in terms of the code 2, 3 or 4, depending upon the length of such contract, as given below:

no written job contract.....	1
written job contract:	
for 1 year or less.....	2
for more than 1 year to 3 years.....	3
more than 3 years.....	4

If the contract of employment specifies a particular date of termination which is more than 3 years or if the type of job contracted is such that no time is fixed but the contract can only be terminated for certain administrative reasons such as incompetence, misconduct or for economic reasons then code 4 will be recorded. However, if no written contract exists, then irrespective of the duration of employment, code 1 will be recorded.

4.5.1.21 **Column (13): Whether eligible for paid leave:** If the employee is eligible for paid leave then code 1 will be entered, otherwise code will be 2. Paid leave may include leave during sickness, maternity, or such leaves, as the employee is eligible to take without loss of pay as per the conditions of employment. The situation will be obtained excluding the paid off days/holidays which an enterprise normally allows to its employees.

4.5.1.22 **Column (14): Availability of social security benefits:** It will be ascertained from the employees whether they are covered under any of the specified social security benefits or a combination of them which are arranged or for which contribution is made by the employer. The following code structure is to be adopted for recording the entry against this column:

eligible for:

only PF/ pension (i.e., GPF, CPF, PPF, pension, etc.)	1
only gratuity	2
only health care & maternity benefits.....	3
only PF/ pension and gratuity.....	4
only PF/ pension and health care & maternity benefits.....	5
only gratuity and health care & maternity benefits.....	6
PF/ pension, gratuity and health care & maternity benefits	7
not eligible for any of above social security benefits	8
not known.....	9

The term Provident Fund (PF) will include General Provident Fund, Contributory Provident Fund, Public Provident Fund, Employees Provident Fund, etc. It may be mentioned that coverage under any of these social security schemes will mean that the employer contributes/ arranges/ pays in implementing the social security benefits for the worker. If an employee operates, in his/ her individual capacity, a PPF account and the employer is not contributing in that account then it will not be considered a social security benefit. On the contrary, a scheme, in which both the employee and the employer contribute, will be considered a social security benefit. When benefits are given by the employer for treatment of illness/ injury or an employee is eligible for paid leave for a specified period of pre-natal/ childbirth/ post-natal stage or the expenditure for maternity care or childbirth is borne by the employer as per the conditions of employment, then such benefits will be considered as health care & maternity benefits. There may be cases where the employer is not directly contributing in a social security scheme for the employees, but being the member of the welfare association or organization or scheme in relation to the specific activity carried out by the employer, the employees get the benefit from that welfare association/ organization/ scheme. Such cases will also be considered as social security benefits availed through the employer and appropriate code will be assigned. If information about the availability of social security benefits is not known to the employee, code 9 may be put in this column.

4.5.1.23 **Column (15): Method of payment:** The method of payment received by the person for work done will be recorded in codes. The applicable codes are:

regular monthly salary.....	1
regular weekly payment	2
daily payment	3
piece rate payment.....	4
others	5

4.5.1.24 **Column (16): Period of seeking/ available for work during last 365 days:** For persons of age 5 years and above, it is to be ascertained whether they were seeking/ available for work for some period during last 365 days. The period, which need not necessarily be continuous, for which the person was seeking/ available for work during last 365 days will be first rounded off

to the nearest number of months and then appropriate code is to be given. For example, a person may be employed in his/ her principal usual activity status based on the major time criterion. But he/ she may have been looking for work for some period. Similarly, a girl may have been looking for job for sometime but subsequently got married and may be engaged in the domestic duties. The latter activity might have been pursued for a relatively longer period. In all such cases, one of the codes 1 to 5, applicable for the situation, will be given. If a person was not seeking or available for work any time during the preceding 365 days, the appropriate code will be 6.

The code structure for period of seeking/ availability for work is as given below:

sought/ available for:

less than 1 month.....	1
1 month & above but less than 3 months	2
3 months & above but less than 7 months.....	3
7 months & above but less than 10 months	4
10 months to 12 months	5
did not seek/ not available.....	6

Block 5.2: Usual subsidiary economic activity particulars of household members

4.5.2.0 This block will be filled in for those who have reported to have carried out some *economic* activity in the subsidiary capacity, i.e., **for those with code 1 in col. 7 of Block 5.1**. Information in this block will be recorded for each and every member of the household reporting subsidiary economic activity (i.e., for those with code 1 in col. 7 of Block 5.1) irrespective of whether in the usual principal activity status the person is employed or not. This will include information on industry-occupation of the working members, some particulars of the enterprises in which they are working and conditions of employment for the persons employed as regular wage/salaried employee and casual labour. The particulars of usual activity are collected with reference to a period of 365 days preceding the date of survey. The relevant concepts like 'activity status', 'economic activity', 'principal usual activity', 'subsidiary economic activity', etc. are explained in Chapter One. In the situation where a person has been found to have pursued more than one economic activity during the last 365 days in his or her subsidiary capacity, the details of the subsidiary economic activity on which more time has been spent would be considered for recording entry in this block. The description of the item and the procedure for recording them are explained below:

4.5.2.1 **Columns (1) & (2): Srl. no. & age, as in cols. (1) & (2) of block 5.1:** The entries in these two columns are to be copied from columns (1) and (2) of block 5.1, for each of the members of the household reported to have carried out some economic activity in the subsidiary capacity, i.e, with code 1 in column (7) of block 5.1.

4.5.2.2 **Column(3): Usual subsidiary economic activity status:** For all persons engaged in any 'work' in subsidiary capacity, i.e., **for those with code 1 in col. 7 of Block 5.1**, the status code corresponding to the economic activities pursued by them in their subsidiary capacity will be recorded in column (3). Activity status codes 11, 12, 21, 31, 41 and 51 relate to economic activity and only these codes are applicable for column (3).

4.5.2.3 **Columns (4) to (6): Subsidiary industry-occupation:** For the economic activities pursued in the subsidiary capacity, the particulars of industry-occupation will be entered in

columns (4) to (6). The procedure for making entry in these columns is similar to that given for block 5.1.

4.5.2.4 Columns (7) to (14): Particulars of enterprise and conditions of employment: These columns are applicable for persons with **industry groups 014, 016, 017 and divisions 02 to 99 of NIC 2008 in col. (5)**. Particulars of the enterprises where the household members are usually engaged in the subsidiary capacity will be recorded in columns (7) to (10). This apart, conditions of employment will be recorded in columns (11) to (14) for those with **status codes 31, 41 or 51 in column (3)**. **Note that in this block the particulars to be collected in columns (7) to (14) will pertain to the subsidiary status (col. 3) and industry (col. 5) obtained for the person. The detailed instructions for columns (7) to (14) of Block 5.2 are similar to that given for Block 5.1 for the relevant columns, and therefore, those are not repeated here.**

Block 5.3: Time disposition of members during the week

4.5.3.0 The data compiled in this block will generate various estimates of employment and unemployment based on the approach of current daily status (CDS) and current weekly status (CWS). The relevant concepts of CDS and CWS have been discussed in paras. 1.8.24 and 1.8.25 of Chapter One. This block is meant for recording the time disposition for all the 7 days preceding the date of survey, the current weekly status based on the 7 days' time disposition, wage and salary earnings during the week, duration of present spell of unemployment for those who were unemployed on all the 7 days of the week, etc. *Time disposition will be recorded for every member of the household listed in block 4.* This involves recording of different activities pursued by the members along with the time intensity in quantitative terms for each day of the reference week. The different activities will be identified and recorded in terms of 'status' and 'industry' codes for persons in urban areas and 'status', 'industry' and 'operation' codes for persons in rural areas. The time intensity will be measured in half-day units. Since a person may be engaged in more than one type of activity on a single day, (in which case two such activities will be considered for that day) and different activities may be carried out on different days of the week, more than one line have been provided for each person in this block to record information on different activity particulars which have been carried out by the person in the week in separate lines.

4.5.3.1 **Columns (1) & (2): Serial number and age:** In columns (1) and (2) of this block, serial number of each person and his/her age recorded in columns (1) and (5), respectively of block 4, will be copied. The serial numbers in block 5.3 will be entered sequentially as they appear in column (1) of block 4. Provision has been made to record particulars of four persons in one page. Four such sheets have been provided. In case more pages are required to record the particulars of all the persons listed in block 4, additional sheets of block 5.3 may be used. These sheets should be firmly stapled with the main schedule at the appropriate place.

4.5.3.2 Since a person may pursue more than one activity during the seven days of the reference week, four lines have been provided for each serial number recorded in column (1) for making separate entries relevant to the different activities on a day (two such activities) on different days of the reference week. Past experience indicates that provision of four lines will cover almost all the situations. However, if a person reports more than four different activities during the reference week the block of four lines meant for the next serial number of persons may be utilised by putting cross (x) marks in columns (1) and (2), and continuous serial numbers in column (3). Obviously, the particulars of the next person will be entered in the cell meant for serial number of persons subsequent to the cell already utilised for the previous person. In the case of children of age 0 - 4 years, their particulars will be entered and status code 97 will be assigned to them with intensity 1.0 for all the seven days preceding the date of survey without any probing.

4.5.3.3 **Column(3): Serial number of activity:** For each person listed in column (1) of this block (which will be same as listed in column (1) of block 4), different activities pursued by them during all the seven days of the reference week will be serially numbered and this serial number of activity will be recorded in column (3). Presuming that the likelihood of one person pursuing more than four different activities in a week is rather remote, only four lines are provided for each person. As stated earlier, if a person pursues more than four different activities, the lines meant for the next person may be utilised. **The current activity of a person in the rural areas is denoted by his status-cum-industry-cum-operation.** Thus, for a person in the rural areas with the same status, if the industry division (2-digit NIC-2008 code) or operation are different on the same or different days, he will be considered to have pursued different activities and these activities will be entered in different lines. Similarly, **in urban areas, the current activity of a person is denoted by his status-cum-industry.** Thus, if a person in urban area ploughs his own field in the first half of the day and sows in the second half of the day, he will be considered to have only one activity during the day. But, in rural areas, he will be considered to have two activities.

4.5.3.4 **Column (4): Status:** The current activity 'status' codes corresponding to the serial number of activity entered in column (3) will be recorded in this column. The status codes which will be used in recording daily activity particulars and the weekly activity particulars are as follows:

activity status	code
situation of working or being engaged in economic activities (employed)	
<i>worked in hh. enterprise (self-employed) as own account worker.....</i>	11
<i>worked in hh. enterprise (self-employed) as employer</i>	12
<i>worked as helper (unpaid family worker) in hh. enterprises(self-employed).....</i>	21
<i>worked as regular salaried/wage employee</i>	31
<i>worked as casual wage labour in public works other than MGNREG works.....</i>	41
<i>worked as casual wage labour in MGNREG works.....</i>	42
<i>worked as casual wage labour in other types of work</i>	51
<i>had work in h.h. enterprise (self-employed) but did not work due to:</i>	
<i>sickness</i>	61
<i>other reasons</i>	62
<i>had regular salaried/wage employment but did not work due to :</i>	
<i>sickness</i>	71
<i>other reasons</i>	72
situation of being not engaged in work but available for work (unemployed)	
<i>sought work</i>	81
<i>did not seek but was available for work</i>	82
situation of being not available for work (not in labour force)	
<i>attended educational institutions</i>	91
<i>attended domestic duties only</i>	92
<i>attended domestic duties and was also engaged in free collection of goods (vegetables, roots, firewood, cattle-feed, etc.), sewing, tailoring, weaving, etc. for household use</i>	93
<i>rentiers, pensioners, remittance recipient, etc.</i>	94
<i>not able to work due to disability</i>	95
<i>others (including begging, prostitution, etc.).....</i>	97
<i>did not work due to sickness (for casual workers only)</i>	98

It may be noted that these are same as the usual activity status codes, except the codes 42, 61, 62, 71, 72, 82 and 98 which are not applicable for usual status. Moreover, **activity status code 41 in the usual status is used for casual wage labour in all types of public works, whereas in the current activity status, code 41 is for casual wage labour in public works other than MGNREG works and code 42 is for casual wage labour in MGNREG works.** It may be noted that though under MGNREG Act persons of age 18 years and above in rural areas willing to do unskilled manual work are entitled to get MGNREG public works, for this survey, activity status code 42 may be assigned to those who have worked under MGNREG works irrespective of the age and place of residence of the person. Besides, code 81 in usual status is used to indicate both the situations of seeking and being available for work, while in the current activity status, code 81 is for the persons 'seeking work' and code 82 is for the persons who 'did not seek but was available for work'. The current weekly activity status for each individual will be identified based on the daily activity status codes. The procedure for doing this will be explained later in this chapter. The following paragraphs describe in details the procedure to be followed in making entries in each of the columns.

4.5.3.5 Column (5): Industry division (2-digit NIC-2008 code): For each status code grouped under the activity category 'working' (i.e., for the status codes 11-72 recorded in column 4), 2-digit NIC-2008 code will be entered in column (5) in terms of the specified codes.

4.5.3.6 Column (6): Operation (for rural areas): This column will be filled in for persons belonging to the rural households only. The actual working operation performed by the persons relevant to the status codes grouped under the activity category working (i.e., status codes 11-72) will be entered in terms of codes in this column. It may be noted that for regular salaried/wage employees on leave or holiday, the 'operation' will relate to their respective function in the work or job from which he is temporarily off in view of his taking leave or holiday. Similarly for persons categorized as 'self-employed' (status codes 11, 12 & 21) if they are not at work on a particular day in spite of their having work on that day, operation to be recorded will relate to the work they would have done if they had not enjoyed leisure on that day. The relevant codes to be used for making entries in this column are:

- a) manual work in cultivation :
 - ploughing - 01 sowing - 02 transplanting - 03
 - weeding - 04 harvesting -05 other cultivation activities - 06;
- b) manual work in other agricultural activities :
 - forestry - 07 plantation - 08 animal husbandry- 10
 - fisheries - 11 other agricultural activities - 12;
- c) manual work in non-agricultural activities - 13;
- d) non-manual work in :
 - cultivation - 14 activities other than cultivation- 15.

4.5.3.7 Although it may be theoretically possible that **on a particular day of the reference week, a person may have any number of activities, the particulars relating to two activities identified on the basis of priority cum major time criterion need only be considered for making entries in columns 7-13.** Thus, on a day, a person may either have only one activity with 'full' intensity or two activities with 'half' intensity for each. If the activity is pursued with intensity 'half' on a particular day, the entry will be 0.5 against that activity and if that is pursued with intensity more than half, 1.0 will be recorded against that activity in the relevant columns (7) to (13). Generally, an activity, which is pursued for 1 hour or more but less than 4 hours is considered to have been pursued with 'half' intensity. If it is pursued for 4 hours or more, the activity is considered to have been pursued with 'full' intensity. However, for some persons,

less than four hours of work daily is their normal working hours for the work or profession. In such cases they will be considered to have worked with 'full' intensity.

4.5.3.8 The decision whether the intensity to be recorded for an activity will be 0.5 or 1.0 has to be taken by the investigating staff after making careful probes into the actual situation obtaining for the person on a particular day. Mere declaration made by the informants, that less than four hours of work daily is their normal working hours for the work or profession, should not be the basis for recording the intensity as 1.0. In the case of a cultivator, a village artisan or a small trader, it should not be presumed that a few hours on a day, say during the lean periods of the year, is their normal work, and the intensity 1.0 need not necessarily be recorded for them. Since this particular block of the schedule is meant for recording the information on periodical or seasonal under-utilisation of available labour time, careful probes about the nature of work performed by a person during the day has to be made before recording the relevant entries.

To illustrate, in so far as the daily activity pattern of a person is concerned, the following seven different situations can be visualized for a person on a single day:

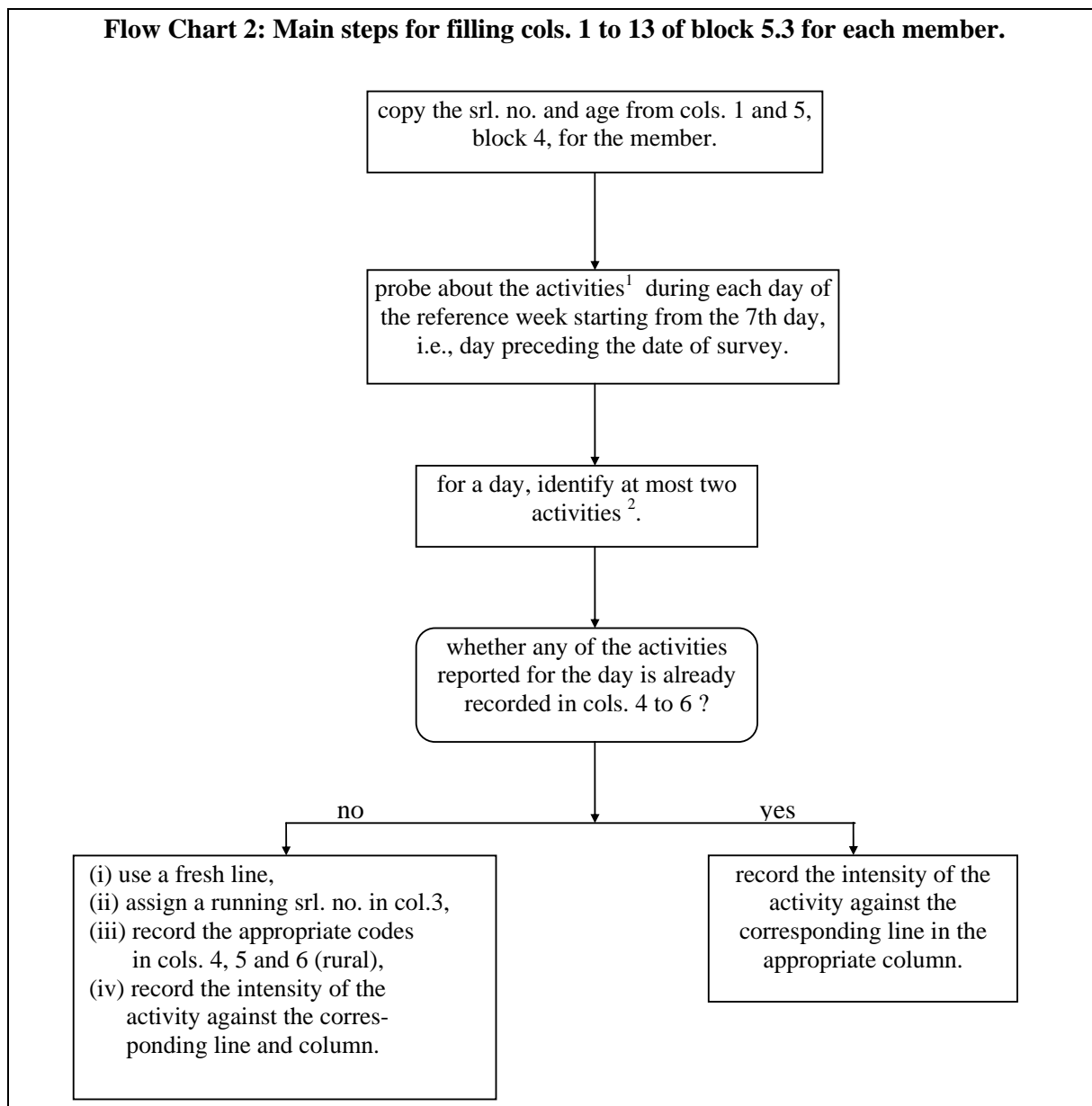
- (i) he/she may be engaged fully in one economic activity;
- (ii) he/she may be engaged in two different types of economic activities;
- (iii) he/she may be partly engaged in economic activity and for the rest of the day he may be seeking or available for work and at the same time may or *may not be doing some non-economic activities*;
- (iv) he/she may be partly engaged in economic activity and during the rest of the day he is not available for work and *may be doing some non-economic activities*;
- (v) he/she may be available for work for the entire day;
- (vi) he/she may be available for work for part of the day and for the remaining part he may not be available for work and may be pursuing some non-economic activity and
- (vii) he/she may be fully engaged in non-economic activities.

4.5.3.9 Which of the status codes are to be entered in column (4) will depend on whichever of the above situations are obtaining for a person on the different days of the reference week. The investigator is to first ascertain the exact situation from the informant and will then record the appropriate status code or codes, as the case may be, in this column using the priority-cum-major time criterion. The relevant codes to be used for recording the status are already given. The following illustrations may be noted for general guidance.

- (a) A person found to be engaged in domestic duties should not be categorised 'engaged in domestic duties' (code 92) if the person reports that he/she has also been available for work concurrently.
- (b) A person engaged in regular salaried/wage employment but currently not at work will be assigned code 71 or 72 irrespective of whether he is engaged in any other 'economic or non-economic' activity.
- (c) Unpaid apprentices will be treated as 'students' while paid apprentices will be treated as employees.
- (d) 'Free collection for sale' will be treated as self-employment.

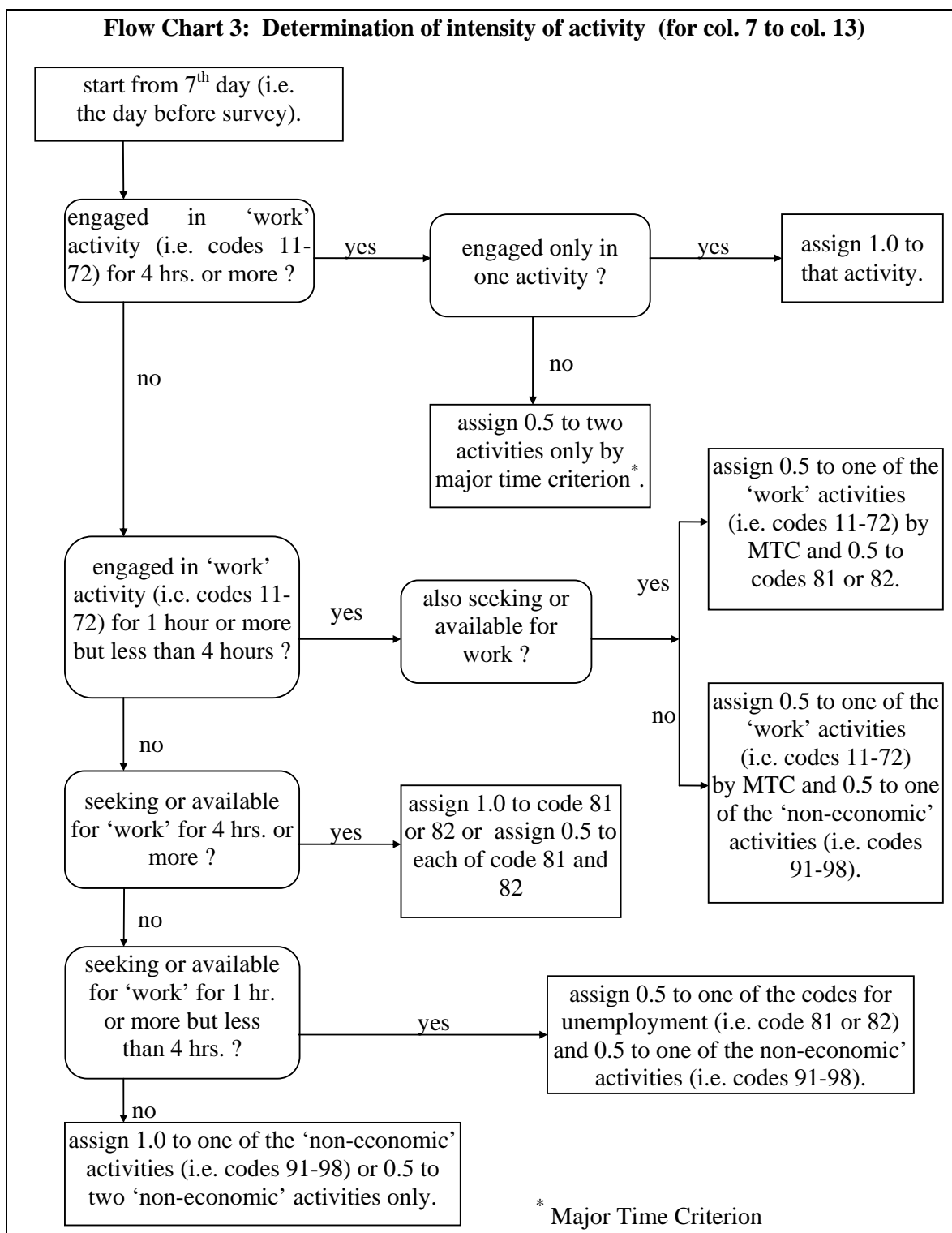
4.5.3.10 **Columns (7) to (13): Intensity of activity:** For each activity recorded in column (3), the intensity with which the particular activity is performed on the different days of the reference week will be recorded in quantitative terms 'half' or 'full' in these columns. As described earlier, for each activity listed in column (3) either one 'full' intensity or two 'half' intensity may be assigned to a person on any one of the seven days of the reference week. For a particular activity, the recording of entries in columns (7) to (13) should start from column (7) which is

provided for recording the intensity of that activity on the seventh day of the reference week, i.e., the day preceding the date of survey. Similarly, the intensity of that activity on the sixth, fifth and earlier days of the week will be recorded in columns (8), (9), (10), (11), (12) and (13) respectively. If the intensity of an activity is 'full' on a particular day, '1.0' will be recorded in the relevant column. On the other hand, if the intensity is 'half', the entry will be '0.5'. If that particular activity is not pursued on some other days of the reference week, the corresponding columns provided in the block for those days will be left blank against that activity. Thus, for each day, for a person, there will be either only one entry with intensity 1.0 in any one of the lines or two entries with intensity 0.5 each in any two of the lines. Procedure for recording different activities and the intensities of the activities on different days are explained in the Flow Charts 2 and 3.



Note: 1. An activity relating to work will be identified on the basis of the status-cum-industry-cum-operation in the rural areas and on the basis of status-cum-industry in the urban areas. An activity relating to 'other than work' will be identified on the basis of status only both in rural and urban areas.

2. On a day, a person will be considered to have been engaged in one activity with full intensity (1.0) or in two activities with half intensity (0.5). Of the many activities, two activities to be chosen based on priority-cum-major time criterion.



4.5.3.11 For determining the various activities pursued by a person during the reference week and their intensities, the following thumb rule may be adopted:

- (a) If a person had worked or was employed, that is, if he was engaged in any one or more of the activities 11-72 for four hours or more on a day he would be considered 'working' or 'employed' for the whole day and assigned the one or two out of the different work activities on which he devoted relatively long time. In the former case, intensity will be 1.0 and in the latter cases, 0.5 for each of the two activities recorded.
- (b) A person, who had worked for 1 hour or more but less than 4 hours on a day, would be considered 'working' for half day and for the other half he would be considered either 'seeking or available for work', i.e., 'unemployed' (code 81 or 82) or as 'neither working nor available for work', i.e., 'not in labour force' (91-98) depending on whether or not the person was seeking/available for work. The person will be assigned the relevant work status code (11-72) with 'half' intensity and non-work status code 81 or 82 if 'unemployed' or any one of the relevant codes 91-98 if 'not in labour force', with 'half' intensity. If the nature of work is such that, (when employed in a full day) he/she works for less than 4 hours, full intensity will be given (e.g., a doctor may practise for 3 hours only on each day).
- (c) If a person had not worked even for an hour on the day but had sought work or was available for work for four hours or more, he/she would be considered unemployed for the whole day and assigned the code 81 or 82 as the case might be with 'full' intensity. But if he/she sought work or was available for work for one hour or more but less than four hours, he/she would be considered 'unemployed' for half day and assigned the activity status code 81 or 82 with 'half' intensity and 'not in labour force' with 'half' intensity for the other half of the day, for which the relevant code (any one of the codes 91-98) would be assigned.
- (d) A person not so considered 'employed', or 'unemployed' either for 'full' day or 'half' day as shown in (a), (b) or (c), would be given activity codes 91-98 with intensity 'full' or two of them with each having intensity 'half', as the case may be.

4.5.3.12 A few special cases are stated below regarding assigning of intensity.

- (a) In the case of a person engaged in self-employment, such as a doctor, a stationary or peripatetic trader or vendor, a freelance artisan or a mason or a carpenter, etc., the following may be kept in view while recording entries on intensity.
- (i) A doctor sitting in his chamber for 4 hours or more, no matter whether he examined and prescribed medicine for a single patient or not, intensity 1.0 should be recorded.
- (ii) For stationary or peripatetic vendor or trader moving around in his professional rounds for 4 or more hours, intensity 1.0 should be recorded whatever little business is done by the person.
- (iii) For recording intensity (entries 1.0 or 0.5) in columns (7) to (13) in the cases of masons or carpenters in their professional rounds, similar procedure is to be adopted.
- (b) In the case of regular or casual salaried or wage employees, the activity beyond the normal working hours need not be considered for recording entries in these columns. On the other hand, if a person pursues two economic activities of duration, say 4-5 hours each, both are to be recorded with 0.5 intensity for each. In the case of self-employed persons, time spent on any ancillary activity relating to the actual activity of production of goods or services, will also be considered as time spent on 'work'.

4.5.3.13 **Column (14): Total number of days in each activity:** The number of days for which a particular activity was pursued during the seven days, i.e., the total of columns (7) to (13) will be

recorded in one place of decimal in column (14) separately for each activity listed in column (3). It may be noted that the total number of days for all the activities taken together should always be 7.0 for each individual entered in column (1).

4.5.3.14 Columns (15) to (17): Wage and salary earnings (received or receivable) for the work done during the week (Rs): The wage and salary earnings (not total earnings) receivable for the wage/ salaried work done during the reference week, separately for each of the relevant activities pursued by each person, will be recorded in these columns. The wage and salary earnings are collected for regular wage/salaried employees and casual wage labours, the relevant status codes for which wages & salary are to be recorded are 31, 41, 42, 51, 71 and 72. The wages and salaries receivable for the work done during the reference week may be already received or may still be due to be received in cash or in kind or partly in cash and partly in kind. The total wage or salary receivable for the week in cash will be recorded in column 15 and the value of salary or wages in kind (**evaluated at the current retail price**) receivable for the week will be recorded in column 16. The total of columns 15 and 16 will be entered in column 17. *The entries for all these columns will be made in whole number in rupees.* For recording the wages or salaries, amount receivable as 'overtime' for the additional work done even from the same economic activity beyond normal working time will be **excluded**. Bonus (expected or paid) and perquisites evaluated at retail prices duly apportioned for the reference week will be considered as wages and **included** for making entries in these columns. For the activity statuses '71' and '72', the amount receivable for the week will be worked out on the basis of the number of days reported under the activity during the week.

4.5.3.15 Column (18): Mode of payment: This column will be filled in for those members with status codes 31, 41, 42, 51, 71, and 72 in column (4). The mode of payment made by the employer will be recorded in this column in terms of codes. The codes to be used for recording entries are given below:

- piece rate in cash:* daily-01, weekly-02, fortnightly-03, monthly-04, other-05.
- piece rate in kind:* daily-06, weekly-07, fortnightly-08, monthly-09, other-10.
- piece rate in both cash and kind:* daily-11, weekly-12, fortnightly-13, monthly-14, other-15.
- other (non-piece) rate in cash:* daily-16, weekly-17, fortnightly-18, monthly-19, other-20.
- other (non-piece) rate in kind:* daily-21, weekly-22, fortnightly-23, monthly-24, other-25.
- other (non-piece) rate in both cash and kind:* daily-26, weekly-27, fortnightly-28, monthly-29, other-30.

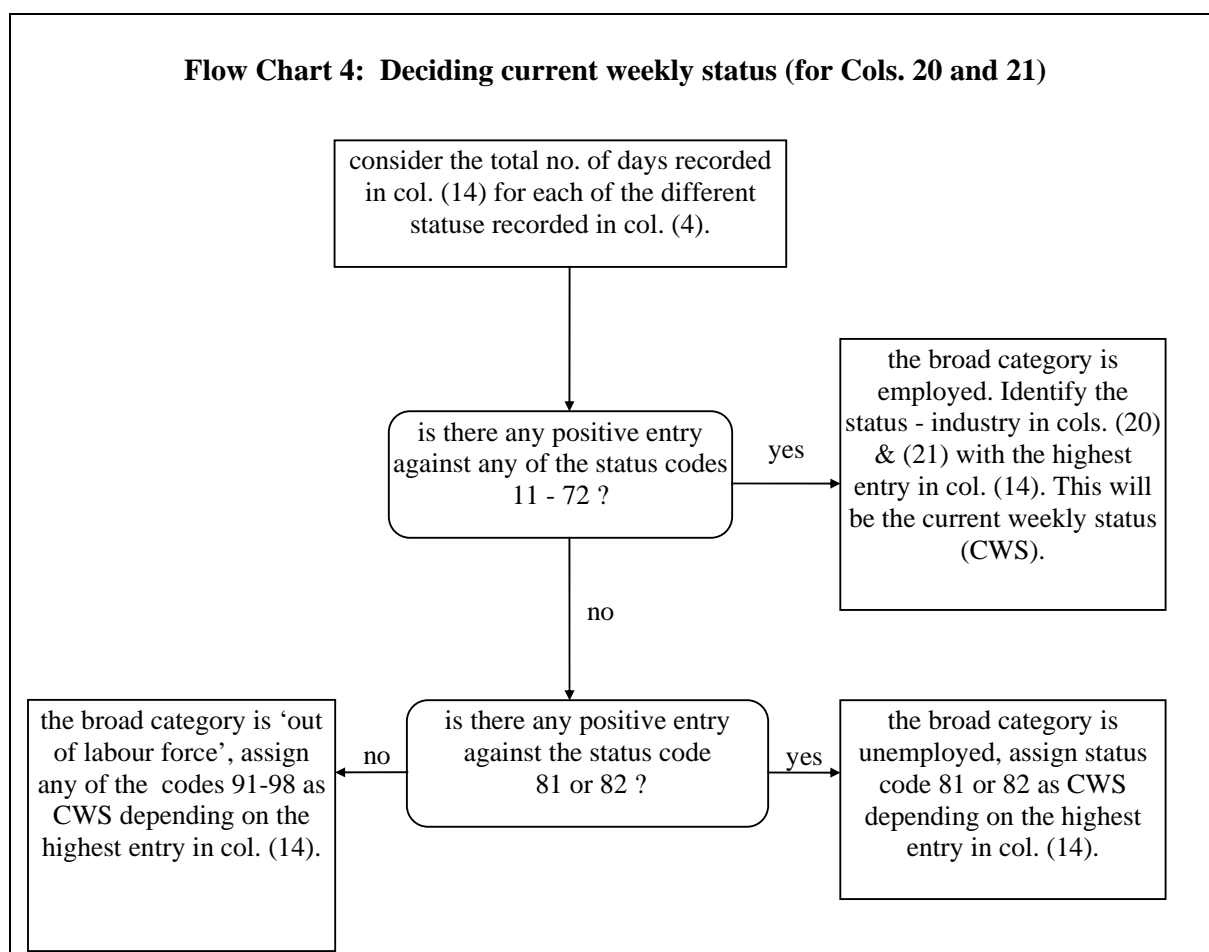
4.5.3.16 Column (19): Total number of days with nominal work: This column will be filled in for the persons with status code 11 to 72 recorded in column (4) against any 'serial number of activity' in column (3). Some of these persons who have reported intensity of work as 'half', for some of the days might have had work only for say, 1-2 hours on certain days out of them. Such days would be considered as days with nominal work. For each person, total number of days with only nominal work, out of the 7 days reference period, for which intensity has been collected in columns (7) to (13) would be recorded in this column against the first line for the person. If for a person with status code 11 to 72, none of the days in the week are with nominal work entry in this column will be made as 0.

4.5.3.17 Column (20): Current weekly status (codes): Based on the activity status obtaining for a person on the seven days of the reference week, the current weekly status is to be identified and the status code so obtained is to be recorded here. This will be done as follows:

4.5.3.18 As already explained, the activity status of a person falls into one of the three broad categories, viz. employed (any of codes 11-72), unemployed (any of codes 81 or 82) and out of labour force (any of codes 91-98). The activity status for any day of the seven days is recorded

in column (4). If any of these is a work related activity code, i.e., any of 11 to 72 the person will fall in the employed category on the current weekly status. In other words, if the person is found to have been assigned a work status code on any of the day of the last week he will be considered as working in the current weekly status. For assigning the unemployed status code (i.e., either 81 or 82) to a person as his weekly activity status code, the person *should not have had any work activity status codes* on any of the days of the reference week but should have one of codes 81 or 82 on at least one day of the last week (i.e., the entry in column (4) should not be any of 11-72 but should be 81 or 82 against one of the serial nos. of activity). Persons without any of codes 11-82 in column (4) will be those who will be treated as out of labour force in the current weekly status.

4.5.3.19 Further, within the three broad activity status categorisation, the detailed activity status codes will be assigned taking into account the number of days in each activity recorded in column (14) in terms of the aggregate of intensities obtaining on various days. Within the broad activity status category identified for a person, the activity status code in column (4), which has the highest value in column (14), corresponding to a serial number of activity within the broad activity status, will be recorded in column (20). The entries for column (20) will be made in the line corresponding to the first line for each person, i.e., the line in which serial no. of activity in col. (3) is 1. The procedure for assigning the CWS to a person is explained in the form of Flow Chart 4.



4.5.3.20 A few examples for determination of current weekly status are given below :

reference to block 5.3 columns					
srl. no. of person <i>col.(1)</i>	srl. no. of activity <i>col.(3)</i>	status col. (4)	industry division <i>col.(5)</i>	total no. of days in each activity <i>col.(14)</i>	current weekly activity status <i>col.(20)</i>
(1)	(2)	(3)	(4)	(5)	(6)
1	1	51	01	3.5	51
	2	41	50	2.0	
	3	98	-	1.5	
2	1	82	-	1.0	82
	2	92	-	6.0	
3	1	11	01	0.5	11
	2	81	-	6.5	
4	1	11	01	3.0	11
	2	11	61	3.0	
	3	92	-	1.0	

4.5.3.21 **Columns (21) & (22): Industry and occupation:** For persons with any of codes 11-72 in column (20), the 5-digit industry (NIC-2008) and 3-digit occupation (NCO-2004) will be recorded in these two columns. **Note that to identify certain category of workers separately, NIC-2008 industry class code 9700 has been split.** Those are to be considered here also. The industry and occupation will correspond to the economic activity in which the highest number of days have been spent as explained in the previous paragraph. In the cases where equal number of days is spent on two or more activities (like in case of person with serial number 4 in the above example) the industry and occupation corresponding to the activity appearing first in the code list in terms of status and industry (in urban areas) or in terms of status, industry and operation (in rural areas) will be noted in columns (21) & (22).

4.5.3.22 **Column (23): Whether unemployed on all the 7 days of the week:** From the daily time disposition recorded in columns (7) to (13), it is to be ascertained whether the person was unemployed on all the seven days, i.e., if he/she had total intensity 1.0 against code 81/82 in column (4) on all the seven days of the week. Code 1 or 2 will be recorded in this column depending on the situation.

4.5.3.23 **Column (24): Duration of present spell of unemployment:** The spell of unemployment means the continuous period for which the person remains unemployed. The present spell will, therefore, refer to the period starting from the day the person became unemployed to the survey date, if there is no change in his/ her activity status (i.e., from unemployed to either employed or not in labour force) in the intervening period. In the case of persons who are first entrants to the labour force, the present spell will be equal to the entire length of unemployment starting from the day such person joins the labour force, i.e., the day since when he/ she was seeking/ available for work. For those who had any previous employment, the present spell will be the period starting from the day they were seeking or available for work after losing their last employment to the survey date. The spell will be recorded in terms of codes indicating various durations as follows:

duration	code	duration	code
only 1 week.....	1	more than 2 months to 3 months....	5
more than 1 week to 2 weeks.....	2	more than 3 months to 6 months....	6
more than 2 weeks to 1 month.....	3	more than 6 months to 12 months...	7
more than 1 month to 2 months....	4	more than 12 months.....	8

Block 6: Follow-up questions on availability for work, existence of union/association and nature of employment for persons working in the usual principal or subsidiary status (i.e. those with codes 11-51 in col. 3 of bl. 5.1 or bl. 5.2)

4.6.0 In this block an attempt is made to collect information on certain qualitative aspects of employment of those who are categorised as employed either in the principal or subsidiary status. The procedure for recording the information under each column is described below.

4.6.1 **Column (1) & (2): Srl no. and age as in block 5.1:** As already stated, this block will be filled in only for those who are employed either in the principal status or in the subsidiary status (i.e., codes 11-51 in col. 3 of block 5.1 or block 5.2). The serial number and age of such persons will be copied from block 5.1 in the same order in columns (1) and (2), respectively.

4.6.2 **Columns (3) & (4): Usual Principal activity status and usual subsidiary activity status:** The usual principal activity status and usual subsidiary activity status will be copied from column (3) of block 5.1 and 5.2 to columns (3) and (4), respectively, as described below.

- (i) For persons having one of the codes 11-51 in column (3) of block 5.1, the entry in column (3) of block 6 will be same as that in column (3) of block 5.1. For these persons, the subsidiary status will also be recorded in column (4) and will be copied from column (3) of block 5.2. For persons with one of the codes 11-51 in column (3) of block 5.1 and not having any subsidiary economic activity, put a 'x' mark in col. (4).
- (ii) For persons engaged in economic activity in subsidiary capacity only, their subsidiary status will be copied from column (3) of block 5.2 and recorded in column (4), and principal status will be copied from column (3) of block 5.1 and recorded in column (3).

4.6.3 **Column (5): Whether engaged mostly in full time or part time work during last 365 days:** In column (5), it will be ascertained if the person was engaged mostly in full time work or part time work during last 365 days. Persons working for less than or equal to half of the normal working hours of the enterprise on a fairly regularly basis will be considered as part time workers and others will be considered as full time workers. Those who are mostly engaged in full time work will be given code 1 and those who are mostly engaged in part time work will be given code 2. The codes are:

full time	1
part time	2

4.6.4 **Column (6): Whether worked more or less regularly during last 365 days:** According to the usual principal status approach, the broad activity category has been determined on the basis of the major time criterion. Thus, the persons, who are 'employed' in their usual principal status may or may not be employed throughout the last 365 days. By virtue of the procedure adopted for classification of activity statuses it is possible that some of them were not employed for considerable length of time. In the case of those, who were employed only in the subsidiary status, this will be the situation occurring most often. Thus, this item will be filled in both for usual principal status workers and usual subsidiary status workers. Those who have worked more or less regularly, considering both principal economic activity and subsidiary economic activity, during the reference year will be given code 1. Others will be given code 2.

4.6.5 Column (7): Approximate no. of months without work: In column (7), the number of months a person was without work will be recorded. The months without work need not be continuous. It is quite possible that a person was without any work for a couple of months, then in employment for some months and again out of work for a couple of months. The 'approximate number of months without work' will include the months in which a person was unemployed and also the months in which he/she was out of labour force, i.e., neither seeking/available for work. For a month if the period without work is reported to be less than 30 days but 15 days or more, it should be recorded as 1 month without work. Situation is to be assessed for all the months separately to get number of months without work during the reference year. Note that some of those, who have reported to have worked more or less regularly during the reference year, i.e., code 1 in column (6), may be found to be without work for some months, after deep probing. For such persons also, number of months without work will be recorded in column (7) and *entry* in col. (6) **should not be changed**.

4.6.6 Column (8): If entry ≥ 1 in column (7), whether sought/available for work during those months: Column (8) will be filled in for persons who were without work for at least one month, i.e., entry greater than or equal to 1 in column (7). The codes for column (8) are given below:

yes :	on most days	1
	on some days	2
	no	3

4.6.7 Column (9): Whether made any efforts to get work: For those who were not at work for some months and sought or were available for work on most or some days of those months (i.e., with codes 1 or 2 in col. 8), the efforts made by them to get work will be recorded in terms of the following codes:

yes:		
	registered only in government employment exchanges.....	1
	registered only in private placement agencies.....	2
	registered in both government employment exchanges and private placement agencies.....	3
	other efforts.....	4
	no effort.....	5

If the effort to get work was made exclusively by registration in government employment exchanges code will be 1. Code 2 will be given to those who made effort to get work through registration in private placement agencies. When a person made effort to get work by registration in both government employment exchanges and private placement agencies, code 3 will be recorded. In case, the codes 1 to 3 are not applicable but the person had made other efforts to get work, code 4 will be recorded. When no efforts were made, code 5 will be recorded.

4.6.8 Column (10): Whether sought/ available for additional work during the days he/ she had work: The time reference to record whether the person was seeking/ available for additional work (i.e., work in addition to the present work) will be that period of last 365 days during which the person was employed either in principal status or in subsidiary status or in both the statuses. Thus, for a person, whose usual principal status is unemployed but had subsidiary work for a relatively short period, the availability for additional work will refer only to the short period he/ she was working. This criterion will be applicable to column (12) also. The entries will be made in codes given below:

yes : on most days	1
on some days	2
no	3

4.6.9 **Column (11): Reason for seeking/ available for additional work:** For codes 1 and 2 in column (10), the reason for seeking/ available for additional work will be entered in this column in terms of codes as follows:

to supplement income	1
not enough work	2
both	3
others	9

4.6.10 **Column (12): Whether sought/ available for alternative work during the days he/ she had work:** As in the case of availability for additional work, information in respect of a person's availability for alternative work (i.e., work instead of the present work) will be collected with reference to that period of last 365 days during which he/ she was employed either in principal status or in subsidiary status or in both the statuses. The entry will be made in codes as given below.

yes : on most days	1
on some days	2
no	3

4.6.11 **Column (13): Reason for seeking/ available for alternative work:** For the persons who 'sought/ available' for alternative work at least for some days, i.e., for persons with codes 1 and 2 in column (12), the reason for doing so will be recorded in codes as follows:

present work not remunerative enough	1
no job satisfaction	2
lack of job security	3
work place too far	4
wants wage/salary job	5
others	9

When more than one code is applicable, the code **appearing first in the list** may be given.

4.6.12 **Columns 14 to 16:** Information in these three columns will be collected in the following ways:

i) if a person is a worker either only in the usual principal activity status (i.e., if entry in column 3 is any of 11-51 and entry is 'x' in column 4) or in subsidiary economic activity status (i.e., if entry in column 3 is any of 81-97 and entry is any of 11 to 51 in column 4), information in columns 14 to 16 will relate to the activity performed either in usual principal activity status or in subsidiary economic activity status, as the case may be.

ii) if a person is a worker in both usual principal status and subsidiary activity status (i.e., if entry in column 3 is any of 11 to 51 and entry is any of 11 to 51 in column 4), the information will relate to the activity performed in the usual principal activity status.

4.6.13 Column (14): Is there any union/association in your activity?: Union/association will mean any registered/recognised body whose membership is open to a section of those engaged in a specific activity or trade and whose main objective is to look into the interests of its members. ‘Trade’ here refers to any occupation under the usual statuses 11-51. Thus, besides the usual trade unions, association of owners, self-employed persons, etc., will also be covered. The union/association sometimes may be a large body like a factory union looking after the welfare of different types/levels of workers. Depending on the respondents reply, codes 1, 2 or 9 will be recorded in this column. It is to be noted that even if a self-employed person is aware of an association which looks after the interests of those of his kind, the answer to the question will be ‘no’ (code-2) if the membership of such an association is not open to him or the association does not cover the locality where he/she runs the enterprise. It is possible that some of the self-employed persons are not aware of the existence of any association of the kind described above relating to his trade. In such cases, code 9 will be entered in this column.

The relevant entries for this column are:

yes	1
no	2
not known	9

4.6.14 Column (15): Whether a member of union/association: If the respondent reports that a union/association exists in his/her activity, i.e, if entry is 1 in column (14), it is to be ascertained if he/she is a member of that union/association. If the household member is a member of that union/association, code 1 will be recorded, otherwise code will be 2.

4.6.15 Column (16): Nature of employment: In column (16), the nature of employment (i.e., whether permanent or temporary) will be recorded in terms of code. Nature of employment will be considered as permanent if the person is, in normal course, likely to continue in the same employment. If the nature of employment is permanent code will be 1 and if the nature of employment is temporary code will be 2.

Block 7: Follow-up questions for persons with usual principal activity status code 92 or 93 in column (3) of block 5.1

4.7.0 In order to study the pattern of activities carried out along with domestic chores of the persons classified as engaged in domestic duties, a separate set of special follow-up questions have been designed. These follow-up questions will be asked to all the members of the household classified as engaged in domestic duties according to usual principal status (i.e. those with usual principal status codes 92 or 93 in column 3 of block 5.1) and the relevant answers will be recorded in terms of the specified codes.

4.7.1 Items 1 & 2: Serial number and age as in column (1) & (2) of block 5.1: For all members categorized as ‘engaged in domestic duties’ according to usual principal status, i.e., those assigned the usual status codes 92 or 93 in column (3) of block 5.1, their serial number and age as in columns (1) and (2) of block 5.1 will be entered against items 1 and 2. Different columns will be used for different members.

4.7.2 Item 3 to 5: It will be ascertained whether the members are “required to spend most of their time on domestic duties during 365 days preceding the date of survey”. If the answer is in the affirmative, code 1 and if the answer is in the negative, code 2 will be recorded against item 3 for each of the members. If the answer is ‘yes’, i.e., if the entry against item 3 is code 1 for a

member, the reason for his/her participation in the household duties will be ascertained and recorded in terms of the specified codes against item 4.

The relevant codes to be used for item 4 are:

no other member to carry out the domestic duties	1
cannot afford hired help	2
for social and/or religious constraints	3
others	9

For persons with code 1 in item 3, item 5 will be left blank. On the other hand, if the entry against item 3 is 2 for a person, (i.e., such participation was not required but still the he/she participated in the household chores) the reason for his/her voluntary participation in household chores will be ascertained and the code relevant to the answer obtained will be recorded against item 5. In such a case, item 4 will be left blank.

The codes to be used for making entries against item 5 are:

non-availability of work	1
by preference	2
others	9

4.7.3 Items 6 to 19: These 14 questions relate to the participation in 14 specified activities, which are self-explanatory. It will be ascertained whether the person along with his/her normal domestic duties also participated, during the 365 days preceding the date of survey, *more or less regularly* in the specified activities listed. For the questions 6 to 9 and 15 to 19, if the answer is 'yes', code '1' and if the answer is 'no', code '2' will be recorded against the relevant items for the particular person. It may be noted that item 19 and 20 will be filled in for rural areas only. On the other hand, for the items 10 to 14, if the answer is in the affirmative, it will be further ascertained whether the person participated in the processing of mainly own farm/free collected commodities or processing of commodities procured otherwise and the code relevant to the situation will be recorded. The codes to be used are:

<i>yes:</i>	commodities produced in own farm/free collection	1
	commodities acquired otherwise	2
<i>no</i>	3

4.7.4 Item 20: This item will be used for rural areas only. If for a particular person the answer to the question listed against item 19 (i.e., whether the person brings water from outside the village), is 'yes' (i.e., code 1), the distance (rounded off to the nearest kilometre) he/she had to travel from the household premises to the source of water will be recorded against this item.

4.7.5 Item 21: For all those categorised as 'usually engaged in domestic duties' and listed against item '1' it will be ascertained whether they will be prepared to accept work if work is made available at their household premises. If the answer is in the affirmative, code 1 and if the answer is in the negative, code 2 will be recorded against this item.

Information on items 22 to 25 is to be collected for those with code 1 in item 21.

4.7.6 Item 22 to 25 (for persons with code 1 in item 21): The questions listed against these items will be put only to those who have answered in the affirmative for the question listed

against item 21 (i.e., persons with entry 1 against item 21). The codes appropriate for the answers obtained will be recorded against the relevant items. For items 22, 23 and 25, if more than one code is found to be applicable, the code which appears first in the code list only will be recorded. The relevant codes to be used for filling in the items 22, 23 and 25 are as under:

(i) *Item 22: nature of work acceptable:*

regular full time	1
regular part time	2
occasional full time	3
occasional part time	4

(ii) *Item 23: type of work acceptable:*

dairy	1
poultry	2
other animal husbandry	3
food processing ..	4
spinning & weaving	5
manufacturing wood & cane products.....	6
tailoring	7
leather goods manufacturing	8
others ...	9

(iii) *Item 25: assistance required to undertake that work:*

no assistance.....	1
yes:	
initial finance on easy terms	2
working finance facilities	3
easy availability of raw materials	4
assured market	5
training	6
accommodation	7
others.....	9

(iv) *Item 24:* In this item information on whether the person have any skill/experience to undertake the work which is acceptable to him/her as mentioned in item 23, will be recorded. If the person has any skill/experience to undertake the work code will be 1, otherwise code will be 2.

Block 8: Household consumer expenditure

4.8.0 Household consumer expenditure is the sum total of monetary values of all goods and services consumed (out of purchase or procured otherwise) by the household on domestic account during a specific reference period. The definition of household consumer expenditure and the procedure for evaluating it will be the same as for Schedule 1.0. Care should be taken to exclude (i) expenditure on purchase of land and building (ii) expenditure on household enterprise including farm (iii) interest payments (iv) cash transfers (one-way transactions where the household does not receive any consumer goods and services in return), as all these may be mistakenly reported by the household as “consumer expenditure”.

4.8.1 A worksheet has been designed to collect information on household consumer expenditure in Schedule 10. The aim of this worksheet is to arrive at an approximate value of household consumer expenditure, which will serve as proxy for total expenditure that could be obtained through the detailed consumer expenditure schedule. This approximate value of consumer expenditure will be used for classifying sample households into different levels of living for studying employment characteristics of households at different levels of living. The worksheet contains 36 different components of household consumer expenditure. Considering that an item group may contain different items, an attempt has been made to indicate, in parentheses, some of the items included in a particular group, so as to facilitate collection of consumer expenditure data for an item group. Two reference periods, viz., 30 days and 365 days, will be used to record consumption of different groups of items; these are indicated in the headings of columns (3) and (4).

4.8.2 The items of consumption have been classified into four groups and the definition of consumption differs from group to group, as explained in tabular form below.

group	items in the group	serial numbers of items in the worksheet	definition of consumption
I	food (other than purchased cooked meals), pan, tobacco & intoxicants, fuel & light	1 to 13	Consumption is the value of actual amount used during the reference period. The value of home-produce will be imputed at the ex-farm or ex-factory rate. This should not include any element of distributive service charges. <u>Exception:</u> When a person consumes food cooked in a different household, the preparing household is considered to be the consuming household. But when a person consumes meals received as assistance from the Government or a non-Government agency, it will be considered to be the consumption of the household to which the person belongs.
II	purchased cooked meals, miscellaneous goods and services including education, medical, rent, taxes and cesses	11, 14 to 22, 24 to 26	Expenditure incurred on the item during the reference period. <u>Exceptions:</u> 1. If cooked meals are purchased and then used by the purchaser as means of payment, they will be accounted in the household receiving the meals as payment. 2. If payments of tuition fees and rent of household dwelling are regularly made by another household, the household making use of the accommodation or receiving the education is to be considered as the consuming household, and not the household making the payment

group	items in the group	serial numbers of items in the worksheet	definition of consumption
III	clothing and footwear	27 and 28	An item is consumed if it is brought into first use during the reference period. The item may or may not be procured within the reference period. It can be procured by purchase, home production, gift, charity, etc. Purchase and use of second hand clothing materials will also be included. <u>Exception:</u> When second-hand purchase of clothing and footwear occurs, consumption is considered to occur <i>at the moment of purchase</i> , irrespective of whether and when the article is used.
IV	durable goods	29 to 37	Expenditure incurred on the items for purchase or towards cost of raw materials and services for its construction and repair during the reference period.

4.8.3 Imputation of value: Consider the items of Group I and Group III in the table above. If an item is purchased and consumed by a household, the value of consumption can be taken as its purchase value. But the value of an item consumed out of commodities received in exchange of goods and services, home-grown/home-produced stock, transfer receipts or free collection requires imputation. The rule for imputation of value of consumption of commodities is given below:

- The value of goods and services received in exchange of goods and services – including those received as perquisites by the members of the household from their employers - will be imputed at the rate of average local retail prices prevailing during the reference period. However, the judgement of the respondent about the price of the goods purchased in exchange is to be taken into account.
- The value of home produce will be imputed at the ex farm or ex factory rate. This should not include any element of distributive service charges.
- The value of consumption out of gifts, loans, free collection, etc., will be imputed at the average local retail prices prevailing during the reference period.
- The value of consumption out of purchase will be the value at which the purchase was made.

4.8.4 Special care is to be taken to ensure that the items which are consumed by the household out of home grown stock as well as from other sources like free collection, gifts, loans, etc., do not get missed out and necessary probing is to be done to include such consumption, if any, in the total consumption of the household.

4.8.5 **Note:** For items of Group II and Group IV, the question of imputation of value normally does not arise, as value of consumption is, for these groups, defined as expenditure incurred, and is zero when zero expenditure is incurred. However, in case of items of Group II and Group IV received from employer as perquisite or payment, imputation of value is necessary because for such items it is considered as if the receiver incurs expenditure on them. Imputation in such cases should be done at local retail prices.

Value of consumption during last 30 days

4.8.6 **Item 1: Cereals & cereal products:** This will include items like rice, wheat/atta, jowar, bajra, maize, barley, small millets and their products like chira, khoi, lawa, muri, maida, suji, rawa, sewai, noodles, bread (bakery), cornflakes, pop-corn, etc. and sattu prepared from barley/

other cereals. Besides these, tapioca, jackfruit seed, mahua, etc, which are consumed as substitutes for cereals will also be included here.

4.8.7 **Item 2: Pulses & pulse products:** This includes pulses such as arhar, gram, moong, masur, urd, peas, soyabean, khesari, etc., and pulse products such as besan, sattu, papad, badi, etc.

4.8.8 **Item 3: Milk:** This will mean milk as directly obtained from cow, buffalo, goat or any other livestock, milk sold in bottle or polypack. If the household purchases milk and prepares sweetmeats or transforms milk into curd, casein, ghee, etc., at home these will be included here.

4.8.9 **Item 4: Milk products:** This will include condensed/powder milk, curd, ghee, butter and also baby food, ice-creams, etc., the principal constituent of which is milk.

4.8.10 **Item 5: Edible oil and vanaspati:** Oil used in food preparation will be considered as 'edible oil' such as vanaspati, margarine, mustard oil, groundnut oil, etc. If oil is extracted by crushing purchased or grown oilseeds and the oil is consumed then the entry will be shown against this item.

4.8.11 **Item 6: Vegetables:** This item will include potato, onion, radish, carrot, turnip, beet, sweet potato, arum, leafy vegetables, tomato, peas, etc. It will also include green fruits like mango, watermelon, etc. consumed after preparing processed food.

4.8.12 **Item 7: Fruits & nuts:** This includes fresh fruits such as mango, banana, jackfruit, watermelon, pineapple, sugarcane coconut, guava, orange, etc. and also dry fruits and nuts.

4.8.13 **Item 10: Salt and spices:** It will include all edible salt irrespective of whether it is iodised or not. This item will also include spices such as turmeric, black pepper, dry chillies, oilseeds, garlic, ginger, etc., which are generally used in food preparations.

4.8.14 **Item 11: Other food items:** This will include prepared tea, coffee, tea leaf, coffee powder, ice, cold beverages, fruit juice, green coconut, biscuits, salted refreshments, prepared sweets, cake, pastry, pickles, sauce, jam, jelly, cooked meals, prepared rice, snacks, tiffin, food packets, etc. Food items for babies like Farex, Cerelac, etc., whose principal constituent is not milk will also be included here.

4.8.15 **Item 12: Pan, tobacco & intoxicants:** This will include pan leaf, finished pan, supari, lime, katha, bidi, cigarettes, snuff, cheroot, zarda, ganja, toddy, country liquor, beer, foreign liquor, etc.

4.8.16 **Item 13: Fuel & light:** This will include coke, firewood and chips, electricity, dung cake, kerosene, match box, coal, LPG, gobar gas, candle, etc. and petrol, diesel, etc. used for generating electricity.

4.8.17 **Item 20: Rent/house rent:** This item consists of rent for residential building and garage rent for private vehicle of the household. Amount last paid divided by the number of months for which the payment was made will be recorded here. The rent for government quarters will be the amount of house rent allowance (HRA) per month not paid to the employee plus the licence fee deducted per month from the salary for the quarters. Salami/pugree will not be considered anywhere in the schedule. No imputation of rent will be done for owner occupied dwellings.

4.8.18 **Item 21: Consumer taxes and cesses:** This will include road cess, chowkidari tax, municipal rates, water charges, etc.. Sometimes, while purchasing a new vehicle, life tax is paid. In such case, monthly average of tax & cess will be recorded against this item.

4.8.19 Item 22: Medical expenses (non-institutional): This includes expenditure which were incurred on medical treatment not as an in-patient of a medical institution. This includes expenses on medicine, clinical tests, X-ray, pathological tests, payments made to doctor, nurse, etc., on account of professional fees. Expenditure on family planning appliances such as IUD, oral pills, condoms, diaphragm, spermicide. Expenditure incurred on MTP (medical termination of pregnancy) may be recorded against here if hospitalisation is not necessary. Similarly, hiring charges for ambulance may be recorded here if hospitalization is not necessary. Reference period for medical expenses (non-institutional) is 30 days.

Value of consumption during last 365 days

4.8.20 Item 24: Medical expenses (institutional): This includes expenditure which were incurred on medical treatment as an in-patient of a medical institution. Expenditure incurred on MTP (medical termination of pregnancy) may be recorded against here if hospitalisation is necessary. Similarly, hiring charges for ambulance may be recorded here if hospitalization is necessary. Expenditure incurred for clinical tests, X-ray, etc. will be recorded against this item if hospitalisation was necessary.

4.8.21 Item 25: Tuition fees and other fees: It also includes fees paid to educational institutions (e.g., schools, colleges, universities, etc.) on account of tuition (inclusive of minor items like game fees, fan fees, etc.) and payment to private tutor. Occasional payments to the school fund made on account of charities provided for indigent students and 'donations' generally will not be included here as these are regarded as transfer payments.

4.8.22 Item 26: School books and other educational articles: Expenditure on all kinds of books, magazines, journals, etc., including novels and other fiction will be covered under this item. Expenditure on Internet other than telephone charges will also be covered here. Besides these, expenses on library charges, stationery, etc., will also be covered here.

4.8.23 Item 27: Clothing and bedding: In this item, information on value of consumption of all items of clothing and bedding during the last 365 days will be collected. When any garment is sewn at home by a household member, its value will be equal to the value of cloth only. No labour charges for sewing of the garment need be included unless the sample household itself is running a tailoring shop. Similarly, for a quilt made at home of which the materials (viz., cloth, stuffing, cotton, thread, etc.) were purchased the value of the materials used will be shown against this item. All second-hand clothing items, like dhoti, saree, ready-made garments, etc., purchased and brought into first-use will be recorded against this item.

4.8.24 Durables goods (items 29 to 37): Information on expenditure incurred for purchase and cost of raw materials and services for construction and repairs of durable goods for domestic use during the last 365 days will be collected in items 29 to 37. Expenditure will include both cash and kind. Purchase will include both first-hand and second-hand purchase. Only if some expenditure is incurred towards purchase of an item, may be in cash or kind or both, the item will be considered as purchased. Expenditure incurred on purchase of durable goods for giving gifts will also be included. In case of credit/ hire-purchase, the actual expenditure made during the reference period will be recorded.

4.8.25 It should be noted that the purchase of residential building and land, whether first-hand or second-hand, should not be entered in item 37, since such purchases are considered capital expenditure on real estate. Any new construction of building is also an expense on capital account and should not be entered in item 37. The total expenditure incurred by the household towards repairs and maintenance of dwelling unit (only) during the reference period would be entered against item 37.

4.8.26 Investigators should not spend too much time for filling up the worksheet. Unless the respondents themselves ask for clarifications, they are not to ask about quantities consumed item by item to arrive at sub-total figure. The idea is to fill up the worksheet in about half an hour per household. Experience shows that one can obtain a fair enough estimate of household consumer expenditure in half an hour per household.

4.8.27 In case both Schedule 1.0 (Type 1) and Schedule 10 are canvassed in the same household, then the entries in the worksheet (Block 8) of Schedule 10 for item groups 1 to 22 (which has a reference period of last 30 days) and 24 to 37 (which has a reference period of last 365 days) will be obtained from the corresponding items of Schedule 1.0 (Type 1). On the other hand, if Schedule 1.0 (Type 2) and Schedule 10 are canvassed in the same household, then the entries in the worksheet for item groups 1 to 22 and 24 to 37 of Schedule 10 will be copied from the corresponding **items bearing same reference period obtained for Schedule 1.0 (Type 2)**. For the other items, for which the reference period is different, the information in the worksheet of Schedule 10 will be collected afresh from the household corresponding to the reference period for these item groups.

4.9.0 **Block 9: Remarks by investigator/ assistant superintending officer:** Any remark which is considered necessary for explaining any peculiarity in the consumption pattern of the household or any other item-specific unusual feature of the household or of any member thereof will be noted here. Such remarks will help in understanding the entries made in different blocks of the schedule, especially when any entry is numerically very high or very low or entry is unusual.

4.10.0 **Block 10: Comments by supervisory officer(s):** The supervisory officers should note their views on any aspect pertaining to the characteristics under enquiry in this schedule relating to the household or any member thereof.

4.11.0 **Some Important Clarifications for determining activity status:**

1. Production of primary goods for own consumption is considered as economic activity. It may be noted that 'production of agricultural goods for own consumption' covers all activities up to and including stages of threshing and storing of produce.
2. Engagement in domestic duties by a member of the household is not considered economic activity but the domestic duties performed by the domestic servant are to be considered as an economic activity as a special case, although he/she is a member of the household as per definition of household member. Activity status code 31 will be assigned to domestic servants.
3. Free collection for sale will be treated as self-employment. In the case of primary products in the agricultural sector, even if the products collected are not for sale but for household consumption, persons engaged in these activities will be considered as self-employed. If the products collected relate to agricultural sector, the NIC-2008 section will be 'A' and for other goods like rag, waste paper, tins, etc., the NIC-2008 section will be 'G'.
4. A disabled person/ pensioner will be considered as 'employed' according to usual principal status if he/she is engaged in an economic activity for a relatively long time during the reference year. He/she will be treated as unemployed if reported to be seeking/available for work for a relatively long time during the reference year, and not as a disabled person/pensioner.
5. Any person carrying out domestic duty for major part of the day and additionally doing some economic activity for three/ four hours in a day, both on a regular basis, will be considered as engaged in domestic duties according to the usual principal status. Similarly, a pensioner/student doing agricultural activities in household agricultural enterprise for three/ four hours in a day, his usual principal activity status will be pensioner/ student, and not worker in the usual

principal status. However, according to the usual status considering principal status and subsidiary status together, they will be considered as worker.

6. Sometimes it is found that a regular student is currently on live register of the Employment Exchange and such a situation creates confusion in deciding his usual activity status. Normally, the person will be categorised as a student. But before categorising him/her as a student, further probes should be made as to whether he/she will give up his/her studies the moment he/she gets a job. If it is found that he/she will leave his studies to take up the type of job for which he/she has registered, he/she will be considered as unemployed.
7. Current weekly activity status of a student/ disabled person/ pensioner/person engaged in domestic duties will be 'employed' if he/she is engaged in an economic activity for at least 1 hour on any day during the reference week (i.e at least 0.5 intensity against any of the activity status codes 11 to 72 in column 14 of block 5.3).
8. A Government servant who is on extraordinary leave or suspended, his/her usual principal status code will be 31. Other economic activities pursued by him/her during the period will not be considered for determining his usual principal activity status but those activities will be considered as subsidiary economic activities.
9. According to the current status approach, for a regular salaried/wage employee, activity status code 31 is to be given on holidays and code 71/72 for the days he is on leave depending on the reason. Additional economic activity carried out on these days by a regular wage/ salaried employee will not be considered to determine his current daily status, e.g., for a Govt. employee who is on leave for 1 week and does agricultural activity during that week, his current daily status code for all the 7 days of the week will be 72.
10. According to the current status approach, for a self-employed person, activity status code 11 is to be given on holidays or weekly-off days and code 61 or 62 for the days he is on leave depending on the reason.
11. If a casual worker works for 4 days in a week and remains without work for the other 3 days, then for the days he did not work, his current activity status codes will be 81, 82 or any of 91 to 98 depending upon the situation on those days.
12. For regular salaried/wage employees on leave or holiday, the 'operation' will relate to their respective function in the work or job from which he is temporarily off in view of his taking leave or holiday. Similarly for persons categorised 'self-employed' (status codes 11, 12 & 21) if they are not at work on a particular day in spite of their having work on that day, operation to be recorded will relate to the work they would have done if they had not enjoyed leisure on that day.
13. If a person performed 3 economic activities in a day then number of hours spent on each activity is to be considered to assign intensity for the different activities.
 - a. If only 1 of the activities is done for 4 hours or more, 1.0 intensity to be given for that activity.
 - b. If any of the 2 activities are done for 4 hours or more, then 0.5 intensity is to be given to each of these two activities.
 - c. If all activities are done for more than 4 hours then two of the activities by major time criteria (MTC) will be given intensity 0.5.
 - d. If all the activities are performed for less than 4 hours but the total is more than 4 hours then 2 activities by MTC will be assigned 0.5 intensity.
 - e. If all the activities are performed for less than 4 hours and the total is less than 4 hours but more than 1 hour, then 1 activity by MTC will be assigned 0.5 intensity.

14. If a person is engaged in 2 economic activities each of less than 1 hour duration but the total duration is 1 to 4 hours, then one of the activities will be assigned intensity 0.5 by MTC.
15. When a female casual labourer reports that she is not able to work due to pregnancy, she will be treated as 'casual labour not working due to sickness' and will be given current activity code 98.
16. Exchange labour will be considered as 'self-employed'. But a regular employee, on holiday or while on leave, working as 'exchange labour', will be assigned status code 31/71/72. On the other hand, a casual labourer working as 'exchange labour' on some days will be categorised as 'self-employed' for those days.
17. The 'meal carriers' (who collects meals from respective households and delivers the same at various offices), 'night watchmen' of a locality, 'cowherd', etc., are normally employed by a group of households on a regular monthly wage. The 'activity status' of such workers will be the same as that of maid servant/male servant, etc., i.e., 'wage/salaried employee'.
18. Carpenters, masons, plumbers, etc., who in their professional rounds, move from place to place in search of work and carry out the work on contract basis whenever work is available will be considered as own account worker. But if such persons are working on a wage basis or so under a contractor or for a household, they will be considered as regular salaried/wage employee.
19. Unpaid apprentices will be treated as 'students' while paid apprentices will be treated as employees.
20. Persons under 'paid lay-off' will be considered 'employed'. Those under 'unpaid lay off' will not be considered as employed and they will be considered 'unemployed' if they are seeking and/or available for work. Persons who are under lay-off without any payment from the establishment but gets some benefits from other sources because of the lay-off will not be considered as worker.
21. MPs and MLAs will be considered as regular salaried worker. Party functionaries not getting salaries are not to be considered as economically active if they are not engaged in any other economic activity.
22. A porter/ coolie in their professional rounds in search of jobs contracts with several clients for the amount of remuneration depending upon the quantity and volume of goods to be carried for a given distance. Thus in a day, he/ she, in fact, serves several clients and generally, possesses some tangible assets to perform these activities. Moreover, they decide the scale of operation of their own. In view of this, a porter/ coolie may be considered as self-employed and may be given the industry code 96903 as per NIC-2008.
23. Renting of machinery and equipment, building for residential or non-residential purposes is considered as economic activity, if those are performed as a business activity, for which substantial amount of time is spent.

Frequently Asked Questions on Schedule 10 (Employment and Unemployment)

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
1.	3	2/3	-	Two persons of a household are carrying out economic activities. Out of the two persons, one member is not contributing anything to the household expenditure. In such cases, whether principal industry/occupation of the household will be decided on the basis of the contribution of earnings to household expenditure?	The contribution to the household expenditure by the household members engaged in economic activities is not a criterion for determining household principal industry/occupation. The criterion to be followed is the earnings from economic activities by the household members.
2.	3	2,3	-	Whether industry/ occupation description is to be copied from NIC/NCO booklet.	No. NIC/NCO description is to be given, in as specific terms as far as possible.
3.	3	2,3		A single member household is engaged in free collection of firewood for own consumption. The household is having no other economic activity. Can it be considered for determining the principal industry/occupation of the household?	To determine the household principal industry and occupation, the general procedure is to list all the occupations pertaining to economic activities pursued by the household members during the one year preceding the date of survey. Out of the occupations listed, that one which fetched the maximum earnings would be considered as the principal household occupation. Thus in this case also, free collection of firewood for own consumption, will be considered for determination of household principal industry and occupation.
4.	4	-	6	One adult boy and one adult girl are living together without any formal marriage. In such cases, what marital status code will be given?	'Live-together' will be treated similar to marriage for determining marital status code.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
5.	4	-	7	A person had passed the primary level of education in the past. Now he cannot read or write a simple message. Will he be considered a literate?	Persons who cannot read and write a simple message in any language with understanding will be considered as 'not literate' and code 01 will be recorded for such persons.
6.	4	-	7	What level of educational code should be given to company secretary, chartered accountant and cost accountant?	Code will be 13.
7.	4	-	8	In which category (general or technical) of education MBA degree will be considered.	MBA degree will be considered as technical degree and code 02 will be given in column 8. However, for determining the appropriate code in column 7 (general educational level), highest level of education, considering general/ technical/ vocational education, successfully completed by the household member will be considered.
8.	4	-	9	One member of a household has passed Class 12. His result has been declared but he has not taken admission in the next class. What would be the status of current attendance code?	If he/she does not intend to continue his/her education, the appropriate code from 11 to 14 would be recorded. However, if he/she intends to continue his/her education (i.e., if awaiting admission), any of the status of current attendance codes 28 to 31 and 33 to 42 will be recorded.
9.	4	-	9	If a person appears in examination as private student without attending class, then whether he/she will be considered as currently attending?	Those who are registered for any course or enrolled in an educational institution and are allowed to appear in the examination, will be considered as currently attending.
10.	4	-	12	Whether R.T.C. training of NSSO will be considered as vocational training?	No.
11.	4	-	12	Whether Law Courses, Hotel Management, MCA, MBA, etc. courses will be covered under vocational training?	Such courses will not be considered as vocational training.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
12.	general			What is the coverage of household members in Blocks 4, 5.1, 5.2, 5.3, 6 and 7?	<p>1. Coverage of block 4: In block 4 all the household members will be listed.</p> <p>2. Coverage of block 5.1: In block 5.1 all the household members listed in block 4 will be covered.</p> <p>3. Coverage of block 5.2: This block will be filled in for all those household members who have carried out some economic activity in the subsidiary capacity, i.e., for those with code 1 in column 7 of block 5.1</p> <p>4. Coverage of block 5.3: In block 5.3 all the household members listed in block 4 will be covered.</p> <p>5. Coverage of block 6: This block will be filled in only for those who are employed in the principal status or in the subsidiary status, i.e., for those with codes 11-51 in column 3 of block 5.1 or block 5.2.</p> <p>6. Coverage of block 7: This block will be filled in for all the household members of the household classified as engaged in domestic duties according to usual principal status, i.e., for those with usual principal status codes 92 or 93 in column 3 of block 5.1.</p>
13.	5.1/5.2/ 5.3	-	general	The code structure followed for usual principal and CWS mentions only household enterprises. There could be companies big or small where in the owner(s) households may be covered as sample households. It is felt that code 12 is not the proper code.	Codes 11, 12, 21 in usual status and codes 11, 12, 21, 61, 62 in current status are for the self-employed persons in household enterprises. The owner-managers of incorporated enterprises should be regarded as employees, though they may share the characteristics of self-employment in terms of total remuneration and control over resources.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
14.	5.1/ 5.2/5.3	-	general	Whether smuggling activity is to be treated as economic activity?	Determination of economic activities will be done irrespective of the situation whether such activities are done illegally in the form of smuggling or not.
15.	5.1/5.2	-	3	A person has pursued an economic activity for 35 days & another economic activity for 30 days and is seeking and is available for work for rest of the period for 365 days preceding the date of survey. What will be his usual principal activity status?	Usual principal activity status should be unemployed and his subsidiary economic activity will be the activity which was pursued for 35 days.
16.	5.1	-	3	What usual activity status code will be assigned for student who does newspaper vendor work daily for an hour?	In the usual principal activity status, such students will be assigned usual activity status code as 91. However, he/she will be treated as engaged in subsidiary economic activity, if such economic activity was pursued for 30 days or more during last 365 days.
17.	5.1	-	3	One person is working in an NGO without any remuneration for major time during the reference period. What usual activity status code should be reported in such cases?	Since the person is working voluntarily without remuneration, he/she will not be treated as worker. His usual principal activity status code will be 97.
18.	5.1	-	3	A washerman is working in different households on monthly basis. Whether he is to be treated as regular salaried/ wage employee or self employed?	If the washerman, collects clothes from different households and uses his own assets for the work he will be treated as self-employed. On the other hand, if he uses assets supplied by the households for doing his work he will be considered as employees of the households.
19.	5.1	-	8	In case of a government employee who is working in rural/urban area, which code will be given?	Code 16/26 may be reported as the case will be.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
20.	5.1	-	9	For a car driver employed by private households what enterprise type code is to be given?	Code 8 will be given
21.	5.1	-	10	An enterprise uses the electricity for Xeroxing/computer job work. Which code will be given: 1 or 2?	Code 1 will be recorded in such cases.
22.	5.1	-	11	In case of a govt. office, what will be the code for number of workers in the enterprise? Whether it would be the employee strength of local/branch office or total organization.	The number of employee in the local/branch office in which the member of the household works will be taken into account for determining code in this column.
23.	5.1	-	11	One household member is working in the packing section of a big trading enterprise. The informant is aware of the number of workers in the packing section only. He is not aware of the total number of workers in the entire trading enterprise. Whether the entry can be limited to the packing section in which he is working.	Information is to be recorded for the entire trading enterprise provided the packing section is not a separate branch office and it is in the same office. If the informant is not aware of the number of workers, the relevant code may be reported.
24.	5.1	-	13	If a domestic servant working under several employers fell sick and did not attend her duties, some of the employers have not paid the salary for that period and some of the employers paid the salary. What code should be given for such domestic servant?	If the majority of the employers are paying salary during the days of absence from duty, code 1 may be recorded.
25.	5.1	-	14	Whether PPF will also be considered a social security benefit?	If the payment for the PPF has been made by the employer, then it will be considered as social security benefit.
26.	5.1	-	16	For regular salaried persons with code 31 in column 3, who is seeking better employment, is any code from 1 to 5 applicable?	The period for which the person was seeking better employment will not be considered in this column.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
27.	5.1	-	16	If no work is available in a village due to lean season, how to determine whether a person is available for work?	If a person reports that he/she was available for work, irrespective of whether work was available or not in the village, the period of such availability is to be determined and recorded.
28.	5.2	-	1 & 2	Whether all the rows from block 5.1 will be copied or only those with code '1' in column 7 of block 5.1.	Only those with code '1' in column 7 of block 5.1 will be copied in block 5.2
29.	5.2	-	3 to 6	A person has two subsidiary economic activities, in which he was engaged in 40 days each, during the last 365 days. Since, only one subsidiary economic activity is considered in block 5.2, which one of the two should be considered.	The activity which is appearing first in the code list in terms of status and industry taken together will be considered in such cases.
30.	5.2	-	8	A person is a LIC agent. What should be his enterprise code?	Code will be 1 or 2 as the case may be.
31.	5.3	-	4	What will be the current daily activity status of a regular wage/salaried employee during leave?	For regular salaried /wage employee, activity status code 71/72 may be given for the days he/she was on leave depending on the reason. However, code 31 will be recorded for holidays.
32.	5.3	-	4	A self-employed person is running his own account enterprise in the Tehsil Complex as Deed Writer. During the reference period of last 7 days, his working place remained closed due to strike. What current activity status code is to be given in this case?	Code 62 is applicable in this case.
33.	5.3	-	7-13	For casual wage paid employee, what status code (col.4) is to be reported for the day on which the enterprise, in which he is engaged, is closed and he has not done any other work or available for work?	For casual workers, his activity status for such days will be determined depending on his activities during those days.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
34.	5.3	-	7 to14	One person is doing two economic activities, each with duration of 4 hours in a day. How to report intensity of activity in such situations?	In such cases, intensity of activity 0.5 is to be given to each of these two activities.
35.	5.3	-	4 & 20	A retired person is working without honorarium as Secretary in co-operative society. What status code is to be recorded in 4 & 20?	The person will not be considered as worker of the co-operative society. For such persons, the activity status will be determined depending on the actual situation applicable for the person. However, in case of a pensioner code 94 will be entered.
36.	5.3	-	14	If the intensity of two activities is same say 3.5 & 3.5, in col.20 (status), which activity will get the priority?	Out of two activities, if one is work activity code (11-72) that will get priority. If none of these are work activity codes, but one of these is either 81 or 82 and another is out of labour force codes (91 to 98), code 81 or 82 will get priority. If none of these are the codes related to labour force (11-82), the code appearing first in the code list will get priority. However, if both the codes relate to work activity code (11-72), the code appearing first in the code list will get priority. Similarly, if code 81 is with intensity 3.5, and code 82 is also with intensity 3.5, code 81 will be recorded.
37.	5.3	-	19	If a person had worked only half an hour during the last seven days, what entry will be made here?	Column 19 will be filled in for the persons with status code 11-72 recorded in column 4 against any serial no. of activity. It may be noted that those who have worked for only half an hour during the last 7 days will not get any work status code (codes 11 to 72) in column 4 and column 19 will be left blank for such persons.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
38.	6	-	5	If a person is pursuing both full time and part time work, whether he will be treated as engaged in full time work or part time work?	In such cases, he/she will be treated as engaged in full time work.
39.	6	-	7	Whether there will be entry in column 7 if there is entry is 1 in column 6.?	There may be entry in column 7 even if entry is 1 in column 6.
40.	6	-	16	What is the nature of employment for self employed persons?	Code 1 is to be recorded, if he/she is, in normal course, likely to continue in the same employment. Else code 2 will be recorded.
41.	6	-	16	A watchman has been working in an apartment for 10 years. During the course of probing, with regard to the question of his permanency, he replied that he did not know how long he would continue in the job as it depends upon the mercy of the employer. How should we treat him for column 16 of block 6 (nature of employment)? Whether the nature of employment considered as permanent or temporary?	Though the watchman replied that he was uncertain of his employment and did not know about his nature of employment (i.e., whether temporary or permanent), he will be considered as permanent, if the person is, in normal course, likely to continue in the same employment.
42.	7	11	-	Whether grinding done through electrical equipments (such as, mixer and grinder) is to be considered for this item.	No.
43.	7	19	-	For item 19 (bringing water from outside the village?), whether village means revenue boundary of the village?	Here village refers to Census village.
44.	7	20	-	If the distance is 400 metres, what entry would be recorded in the item?	Entry 0 will be reported with suitable remarks.
45.	7	25	-	If a household member needs more than one assistance, how the code is to be made?	If more than one code is found applicable, the code appearing first in the code list will be recorded.

references to the schedule					
sl. no.	block	item	col.	question	clarifications
(1)	(2)	(3)	(4)	(5)	(6)
46.	general			What are the different broad activity statuses into which the members of the selected households are classified? What is the relationship between the broad activity statuses?	<p>Each member of the selected households is classified into a unique broad activity status in the employment-unemployment surveys for collection of further detailed information. The broad activity statuses are: employed, unemployed and not in labour force.</p> <p>Accordingly, the population, can be classified into three mutually exclusive and exhaustive categories: employed, unemployed and not in the labour force.</p> <p>The different broad activity status components into which the population can be split has been presented below graphically;</p> <p>This relationship between the broad activity statuses may be expressed as:</p> <p>(i) labour force = employed + unemployed</p> <p>(ii) population = labour force + not in the labour force.</p>
47.	general			What are the key employment-unemployment indicators?	The key employment-unemployment indicators are given the Box 1 below:

Box 1: The architecture of key employment-unemployment indicators

activity profile*		key indicators
activity status (code)	category of persons	
11, 12, 21, 31, 41, 42, 51, 61, 62, 71, 72	workers	1. Labour Force Participation Rate (LFPR): $\frac{\text{no. of employed persons} + \text{no. of unemployed persons}}{\text{total population}}$
81, 82	unemployed	2. Worker Population Ratio (WPR): $\frac{\text{no. of employed persons}}{\text{total population}}$
91, 92, 93, 94, 95, 97, 98	not in labour force	3. Proportion Unemployed (PU): $\frac{\text{no. of unemployed persons}}{\text{total population}}$
		4. Unemployment Rate (UR): $\frac{\text{no. of unemployed persons}}{\text{no. of employed persons} + \text{no. of unemployed persons}}$

Note: *: Activity status codes, 42, 61, 62, 71, 72, 82, and 98 are used only in *the current status* (in CWS and CDS) and the remaining activity status codes are used in both *usual in current status*

The definitions of the key indicators are given below:

Labour force participation rate (LFPR): LFPR is defined as the number of persons/ person-days in the labour force per 1000 persons /person-days

Worker Population Ratio (WPR): WPR defined as the number of persons/person-days employed per 1000 persons/person-days.

Proportion Unemployed (PU): It is defined as the number of persons/person-days unemployed per 1000 persons/person-days.

Unemployment Rate (UR): UR is defined as the number of persons/person-days unemployed per 1000 persons/person-days in the labour force (which includes both the employed and unemployed).

Annexure-1

Examples of some formal vocational trainings

Name of the trade/training course	Duration	Educational qualification required
ADVANCE WELDING	12 Months	8th standard passed
BOOK BINDER	12 Months	8th standard passed
CARPENTRY	12 Months	7th standard passed
CUTTING & SEWING	12 Months	8th standard passed
EMBROIDERY & NEEDLE WORK	12 Months	8th standard passed
FASHION DESIGN	12 Months	10th standard passed
FITTER	24 Months	10th standard passed
SURVEYOR	24 Months	10th standard passed with Science & Maths
TELEPHONE OPERATOR CUM RECEPTIONIST	12 Months	10th standard passed
SANITARY HARDWARE FITTER	6 Months	8th standard passed
TOURIST GUIDE	6 Months	12th standard passed
DENTAL LABORATORY TECHNICIAN	24 Months	10th standard passed and typing speed of 30 WPM in English / Hindi / any local language
TOOL & DIE MAKER	36 Months	10th standard passed with Science & Maths
HANDICRAFT	12 Months	7th standard passed
HOSIERY & KNITTING	12 Months	7th standard passed
SHORT TERM COMPUTER COURSES (DATA ENTRY OPERATOR)	3 Months	10th standard passed with English
SHORT TERM COMPUTER COURSES (DESK TOP PUBLISHING OPERATOR)	3 Months	10th standard passed with English
MEDICAL TRANSCRIPTION	6 Months	12th standard passed with Biology/ Physiology as major subject. Knowledge of English Language is essential.
MECHANIC WATCH & CLOCK	12 Months	10th standard passed

Annexure-2

An indicative list of specific areas and the broad area covering the specific area(s) associated with formal vocational training to be used for identification of 'field of training'

<i>Broad area: Mechanical engineering trades (01)</i>	<i>Broad area: Electrical and electronic engineering trades (02)</i>
<u>Specific areas:</u>	<u>Specific areas:</u>
<ul style="list-style-type: none"> • Blacksmithy • Denting & Painting • Draftsman (mechanical) • Drilling and Boring • Fitter • Forger and Heat Treater • Foundry man • Grill Maker • Grinder • Instrument Mechanic • Lock Repairer and Key Maker • Machinist • Mechanic (Agriculture machinery) • Mechanic Bio-gas • Mechanic Farm Machinery • Mechanic machine tools Maintenance • Mechanic (Watch and Clock) • Millwright/Maintenance Mechanic • Pattern Maker • Pump Operator cum mechanic • Repair Cart, Cycle, Cycle Rickshaw • Repairer–Umbrella, Stove, Cooker etc. • Repair Watch • Sheet Metal Worker • Tools & Die Maker • Turner • Upholster • Rural Engineering Technology • Moulder 	<ul style="list-style-type: none"> • Audio Visual Technician • Battery Maintenance • Cable Operator • Choke Making • Electrician • Electroplater • Fitter electronics • Radio & TV Repairer • Refrigerator & Air conditioner repairer • Repair Fans, Cooler • Servicing Phones, Tape recorder • Stabilizer Assembling • Transformer Coil Rewinding • Welder • Wireless Mechanic • Wireman • Mechanic-cum-operator electronics communication system
	<i>Broad area: Computer trades (03)</i>
	<u>Specific areas:</u>
	<ul style="list-style-type: none"> • Computer Operator & Programme Assistant • Data Entry Operator • Electronic System Maintenance • Information Technology • Office Assistant • Phototype Setter & Desktop Publishing Operator • Technician Computer • Desk top publishing operator • Data preparation & Computer Software • Networking Technician • Computer repairing

Broad area: Civil engineering and building construction related works (04)Specific areas:

- Bar Bending
- Brick Making
- Carpenter
- Cement Block and Article Making
- Colour Washing, Distemper, White Wash
- Construction worker
- Draftsman (Civil)
- Ferro Cement Work
- Floor Furnishing and Grinding
- Mason
- Painter
- Plumber
- Polishing of Furniture
- Soil Testing
- Surveyor
- Tiles Work
- Waste Water Disposal System
- Water Shed Management
- Wood Work
- Building and Road Construction
- Building Maintenance

Broad area: Chemical engineering trades (05)Specific areas:

- Candle making
- Instrument Mechanic (Chemical Plant)
- Laboratory Assistant (Chemical Plant)
- Maintenance Mechanic (Chemical Plant)
- Match Box & Sticks making
- Pest Control Operator
- Phenyl making
- Plastic Processor
- Soap & Detergent Maker
- Soap making
- Vegetable Dyeing
- Attendant operator (chemical plant)
- Agricultural Chemicals
- Ceramic Technology

Broad area:Leather related work (06)Specific areas:

- Flaying and Carcass

- Leather Goods Maker
- Manufacture of Footwear
- Shoe/Chappal making
- Tanning
- Tanneries
- Making of leather & Rubber sports goods
- Rubber mats making

Broad area: Textile Related work(07)Specific areas:

- Bleaching, Dyeing and Calico Printing
- Cutting & Tailoring
- Cotton Classifier
- Dress Designing
- Dress Making
- Embroidery & Needle Work
- Fabric Painting
- Fabric Printing
- Hand Weaving of Newar, Tape and Carpet
- Knitting Technology
- Knitter (Hosiery)
- Knitting with Machine
- Screen Printing
- Spinning (Power looms)
- Textiles Wet Process
- Weaving (Handlooms)
- Weaving Silk & Woolen Fabrics
- Structure and Fabrication Technology
- Winder (Textile)

Broad area: Catering, nutrition, hotels and restaurant related work (08)Specific areas:

- Baker & Confectioners
- Catering and Restaurant Management
- Cooking – Selling networking
- Craftsman Food Production
- Drying and Dehydration of vegetables
- Food Processing (Papad, Achar etc.)
- Fruit and Vegetable Processing
- House keeping
- Hotel Clerk or Front office Assistant
- Preservation of Fruits & Vegetables
- Steward

Broad area: Artisan/ craftsman/ handicraft and cottage based production work(09)Specific areas:

- Aggarbati Making
- Bee keeping and related skills
- Cane & Bamboo work
- Chalk Making
- Coir Products
- Crewel Work
- Flower making
- Glass Painting
- Gur Making
- Handmade paper work
- Jute Work
- Oil making skills
- Packing Skills
- Pottery Making
- Toy Making

Broad area: Creative arts/ artists (10)Specific areas:

- Dance
- Folk Arts
- Music Instrumental
- Music Vocal
- Oil Painting
- Interior Design
- Classical Dance (Kathak)
- Commercial Art

Broad area: Agriculture, crop production related skills and food preservation work(11)Specific areas:

- Agricultural Chemicals
- Crop cultivation/Production
- Food Preservation
- Medicinal and Aromatic Plant industry
- Plant Protection
- Seed Production Technology
- Plantation Crops & Management
- Vegetable Seed Production
- Repair & Maintenance of Power Driven Farm Machinery
- Agro Based food Industries (Crop based)
- Post Harvest Technology
- Soil Conservation
- Sugar Technology

Broad area: Non-crop based agricultural and other related activities(12)Specific areas:

- Apiculture
- Dairying
- Fish Farming
- Fish Processing
- Fish seed Production
- Floriculture
- Poultry Farming
- Sericulture
- Sheep and Goat Husbandry
- Inland Fisheries
- Swine Production
- Agro Based Food Industries (Animal based)
- Fishing Technology
- Horticulture

Broad area: Health and paramedical services related work (13)Specific areas:

- Health Sanitary Inspector
- Health Worker
- Hospital Documentation
- Hospital House Keeping
- Medical Laboratory Assistant
- Medical Transcription
- Multi Rehabilitation Worker
- Nursing
- Ophthalmic Technician
- Physiotherapy and Occupational therapy
- X-Ray Technician
- Health Care and Beauty Culture
- Bio Medical Equipment and Technician
- Dental Hygienist
- Dental Technician
- ECG and Audiometric Technician
- Nutrition and Dietetics
- Auxiliary Nurse and Midwives
- Primary Health Worker
- Physical Education

Broad area: Office and business related work (14)Specific areas:

- Accountancy & Auditing
- Basic Financial Service
- Banking
- Cooperation
- Export-Import Practices and Documentation
- Insurance
- Industrial Management
- Marketing and Salesmanship
- Office Management
- Purchasing & Store Keeping
- Receptionist
- Secretarial Practice
- Stenography
- Taxation Practices/taxation Laws/
Tax Assistant

Broad area: Driving and motor mechanic work (15)Specific areas:

- Mechanic (Diesel)
- Mechanic (Motor Vehicle)
- Mechanic tractor
- Repairer (Scooter, Car)
- Driving motor vehicle
- Motor vehicle body builder

Broad area: Beautician, hairdressing and related work (16)Specific areas:

- Beautician
- Barber/ Hair Cutter / Dresser
- Beautician Assistant
- Hair and Skin Care
- Hair Dresser
- Health and Slimming Assistant

Broad area: Work related to tour operators/ travel managers (17)Specific areas:

- Tour Operators
- Travel Managers
- Tourism and Travel Techniques
- Tourist Guide

Broad area: Photography and related work (18)Specific areas:

- Cameraman
- Photography

Broad area: Work related to childcare, nutrition, pre-schools and creche (19)Specific areas:

- Child care & Nutrition
- Pre-Play School Management
- Preschool & Creche Management

Broad area: Journalism, mass communication and media related work (20)**Broad area: Printing technology related work (21)**Specific areas:

- Engraver
- Hand Compositor
- Offset Machine Minder
- Photocopying
- Plate Maker (Lithographic)
- Printer
- Retoucher Lithographic

Broad area: Other (99)Specific areas:

- Book Binder
- Call Centre Assistant
- Entrepreneurship skills
- Financial Skills
- Gardening
- Maintenance Library
- Marketing skills
- Office Gum Paste making
- Sign Board Painting
- Tat Patti Making